

Inflation and reheating in the early Universe

Lecture #5

Inflation and reheating

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**“Quantum fields:
from gravity and cosmology
to physics of condensed matter”**
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Outline

- 1 Inflation
- 2 Reheating

Outline

1 Inflation

2 Reheating

Inflation: general remarks

- How long? Solution to the horizon problem:

$$1 \lesssim \frac{I_{H,e}(t_0)}{I_{H,0}} \Rightarrow N_e^{tot} \gtrsim \log \frac{T_0}{H_0} + \ln \frac{a(t_e)}{a_{reh}} + \ln \frac{H(t_{Pl})}{T_{reh}} \simeq 50 - 60$$

Inflation lasts not less than (accepting $H^2 \sim \rho/M_{Pl}^2$)

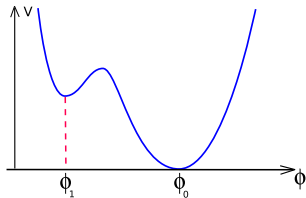
$$\Delta t_{infl} \sim N_e^{tot} / H_e \sim 10^{-11} \text{ c} \cdot \left(\frac{1 \text{ TeV}}{T_{reh}} \right)^2$$

we must reheat the Universe then!

- In realistic models $N_e^{tot} \gg \gg 100$!!!
Inflatinary stage may be short, but expansion is enormous!

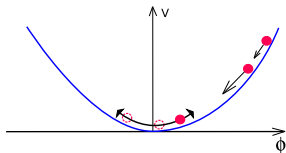
Inflatory stage: simplest models

“Old inflation” by Guth



does not work in fact!
 starts from a hot stage
 and ends up in a false vacuum
 reheating due to percollations
 However: for sufficiently long
 inflationary stage requires
 $\Gamma < H_{infl}^4$
 hence the bubbles never
 collide!

“Chaotic inflation”



needs superplanckian field
 values!

$$\rho = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

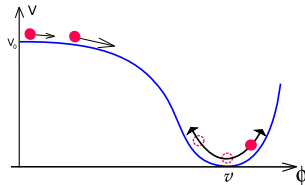
$$\rho = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

$$\varepsilon = \frac{M_{Pl}^2}{16\pi} \left(\frac{V'}{V} \right)^2, \quad \eta = \frac{M_{Pl}^2}{8\pi} \frac{V''}{V},$$

$$V(\phi) \propto \phi^n \Rightarrow \varepsilon, \eta \sim M_{Pl}^2/\phi^2 \ll 1 \quad \leftarrow \text{slow-roll conditions}$$

“New inflation”



Initial condition is very specific!

$$H^2 = \frac{8\pi}{3M_{Pl}^2} V(\phi), \quad a(t) \propto e^{Ht}$$

and we require

$$V(\phi) < M_{Pl}^4$$

Unexpected bonus: generation of perturbations

- Quantum fluctuations of wavelength λ of a free massless field φ have an amplitude of $\delta\varphi_\lambda \simeq 1/\lambda$
- In the expanding Universe: $\lambda \propto a$

inflation: $l_H \sim 1/H = \text{const}$, so **modes "exit horizon"**

Ordinary stage: $l_H \sim 1/H \propto t$, $l_H/\lambda \nearrow$, **modes "enter horizon"**

Evolution at inflation

- inside horizon:** $\lambda < l_H$

$$\lambda \propto a \Rightarrow$$

$$\delta\varphi_\lambda \propto 1/\lambda \propto 1/a$$



- outside horizon:** $\lambda > l_H$

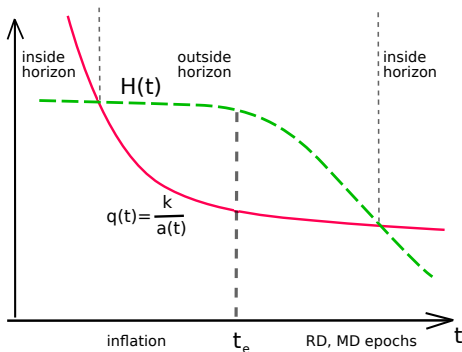
$$\lambda \propto a \Rightarrow$$

$$\delta\varphi_\lambda = \text{const} = H_{\text{infl}} !!!$$



- got "classical" fluctuations:

$$\delta\varphi_\lambda = \delta\varphi_\lambda^{\text{quantum}} \times e^{N_e}$$



Power spectrum of perturbations

In the Minkowski space-time:

- **fluctuations** of a free quantum field φ are **gaussian** its power spectrum is **defined** as

$$\int_0^\infty \frac{dq}{q} \mathcal{P}_\varphi(q) \equiv \langle \varphi^2(\mathbf{x}) \rangle = \int_0^\infty \frac{dq}{q} \frac{q^2}{(2\pi)^2}$$

We define amplitude as $\delta\varphi(q) \equiv \sqrt{\mathcal{P}_\varphi} = q/(2\pi)$

- In the expanding Universe momenta $q = k/a$ gets redshifted
- Cast the solution in terms $\phi(\mathbf{x}, t) = \phi_c(t) + \varphi(\mathbf{x}, t)$, $\varphi(\mathbf{x}, t) \propto e^{\pm i\mathbf{k}\mathbf{x}} \varphi(\mathbf{k}, t)$
 φ solves the equation

$$\ddot{\varphi} + 3H\dot{\varphi} + \frac{k^2}{a^2} \varphi + V''(\phi_c)\varphi = 0$$

- $q = k/a \gg H \Rightarrow$ as in Minkowski space-time
- $q = k/a \ll H \Rightarrow$ for inflaton $\varphi = \text{const}$
- Matching at t_k : $q(t_k) = k/a(t_k) = H(t_k) \equiv H_k$ gives

$$\delta\varphi(q) = \frac{H_k}{2\pi} \Rightarrow \mathcal{P}_\varphi(q) = \frac{H_k^2}{(2\pi)^2}$$

amplification $H_k/q = e^{Ne(k)}$!!!

$H_k \approx \text{const} = H_{\text{infl}}$ hence (almost) flat spectrum

Transfer to matter perturbations: simple models

Illustration: Local delay(advance) δt in evolution due to impact of $\delta\phi$ of all modes with $\lambda > H$:

$$\delta\phi = \dot{\phi}_c \delta t, \quad \delta\rho \sim \dot{\rho} \delta t$$

at the end of inflation $\dot{\rho} \sim -H\rho$, then

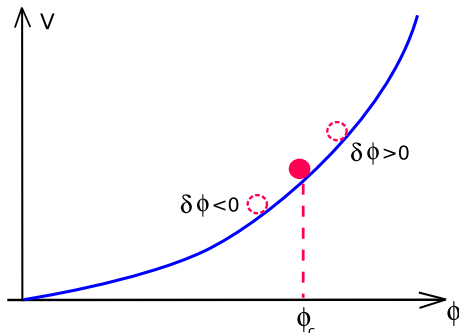
$$\frac{\delta\rho}{\rho} \sim \frac{H}{\dot{\phi}_c} \delta\phi$$

Hence, $\delta\rho/\rho$ is also gaussian.

Power spectrum of scalar perturbations

$$\mathcal{P}_{\mathcal{R}}(k) = \left(\frac{H^2}{2\pi\dot{\phi}_c} \right)^2,$$

everything is calculated at $t = t_k : H = k/a$



Analogously for the tensor perturbations: each of the two polarizations of the gravity waves solves the free scalar field equation!

$$\mathcal{P}_T(k) = \frac{16}{\pi} \frac{H_k^2}{M_{Pl}^2}$$

To the leading order no k -dependence: both spectra are “flat”

(scale-invariant)!

Inflaton parameters and spectral parameters

- Observation of CMB anisotropy gives $\delta T/T$

$$\frac{\delta T}{T} \sim \frac{\delta \rho}{\rho} \Rightarrow \Delta_{\mathcal{R}} \equiv \sqrt{\mathcal{P}_{\mathcal{R}}} = 5 \times 10^{-5}$$

- These are so-called adiabatic perturbations!
Other possibles (isocurvature) modes (e.g. $\delta T = 0$, but $\delta n_B/n_B \neq 0$) are not found.
- $\Delta_{\mathcal{R}} = 5 \times 10^{-5} \Rightarrow$ fixes model parameters, e.g.:

$$V(\phi) = \frac{\beta}{4} \phi^4 \rightarrow \lambda \sim 10^{-13}$$

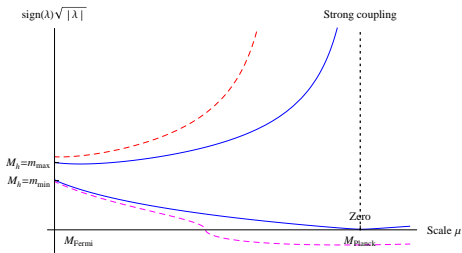
With such a tiny coupling perturbations are obviously gaussian

So far confirmed by observations

- That's why Higgs boson in the SM does not help!
However, it can be exploited as inflaton if non-minimally coupled to gravity

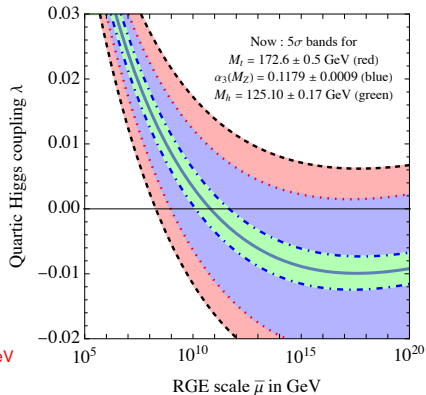
 $\xi R H^\dagger H$

Critical point: where EW-vacuum becomes unstable



- F.Bezrukov, M.Shaposhnikov (2009)
 F.Bezrukov, D.G. (2011)
 F.Bezrukov, M.Kalmykov, B.Kniehl, M.Shaposhnikov (2012)
 G. Degraasi et al (2012)

$$m_h^{cr} > \left[129.0 + \frac{m_t - 172.9 \text{ GeV}}{1.1 \text{ GeV}} \times 2.2 - \frac{\alpha_s(M_Z) - 0.1181}{0.0007} \times 0.56 \right] \text{ GeV}$$



present theoretical uncertainties **0.5 GeV**
 Important for inflation, when usually $h \sim H$

2203.17197

Inflation & Reheating: simple realization with Higgs

$$\ddot{X} + 3H\dot{X} + V'(X) = 0$$

$$X_e > M_{Pl}$$

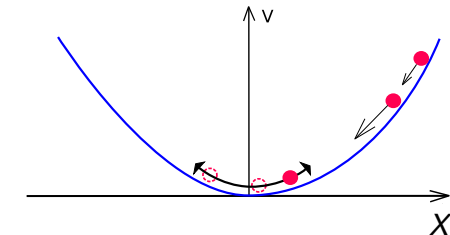
generation of scale-invariant scalar (and tensor) perturbations from exponentially stretched quantum fluctuations of X

$$\delta\rho/\rho \sim 10^{-5} \text{ requires}$$

$$V = \beta X^4 : \beta \sim 10^{-13}$$

reheating ? renormalizable?

the only choice: $\alpha H^\dagger H X^2$
“Higgs portal”



Chaotic inflation, A.Linde (1983)

larger α

larger T_{reh}

quantum corrections $\propto \alpha^2 \lesssim \beta$

No scale, no problem

Inflaton parameters and spectral parameters

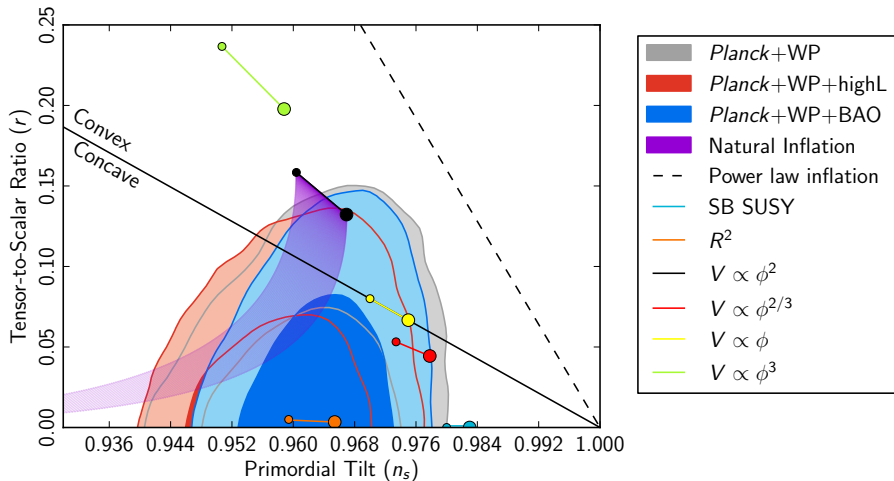
- In fact, spectra are a bit tilted, as H_{infl} slightly evolves

$$\mathcal{P}_{\mathcal{R}}(k) = A_{\mathcal{R}} \left(\frac{k}{k_*} \right)^{n_s - 1}, \quad \mathcal{P}_T(k) = A_T \left(\frac{k}{k_*} \right)^{n_T}.$$

- Measure $\Delta_{\mathcal{R}}$ at present scales $q \simeq 0.002/\text{Mpc}$, it fixes the number of e-foldings left N_e
- For tensor perturbations one introduces:

$$r \equiv \frac{\mathcal{P}_T}{\mathcal{P}_{\mathcal{R}}} = \frac{1}{\pi} \frac{M_{Pl}^2 V'^2}{V} = 16\varepsilon \rightarrow \frac{16}{N_e} \text{ for } \beta\phi^4$$

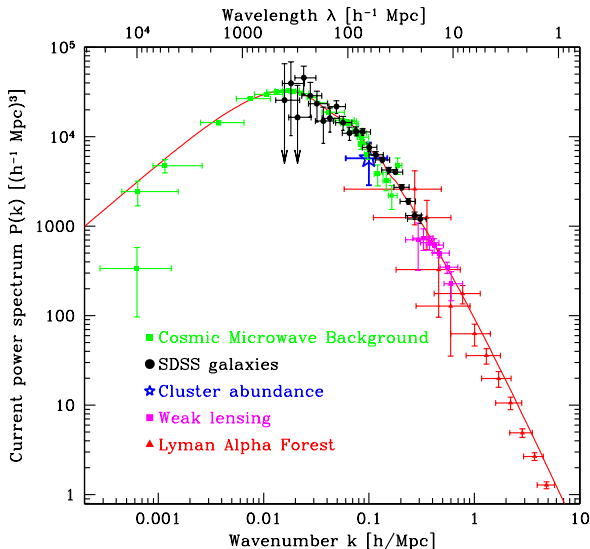
Recent analysis (Planck) of cosmological data



1303.5062

 $N_e = 50 - 60$

Actually we observe rather narrow range



Observable range:

$$\frac{k_{max}}{k_{min}} \sim 10^5$$

$$\Delta N_e \simeq 10$$

Small scales cannot describe:
for a long time in nonlinear regime

Mode evolution

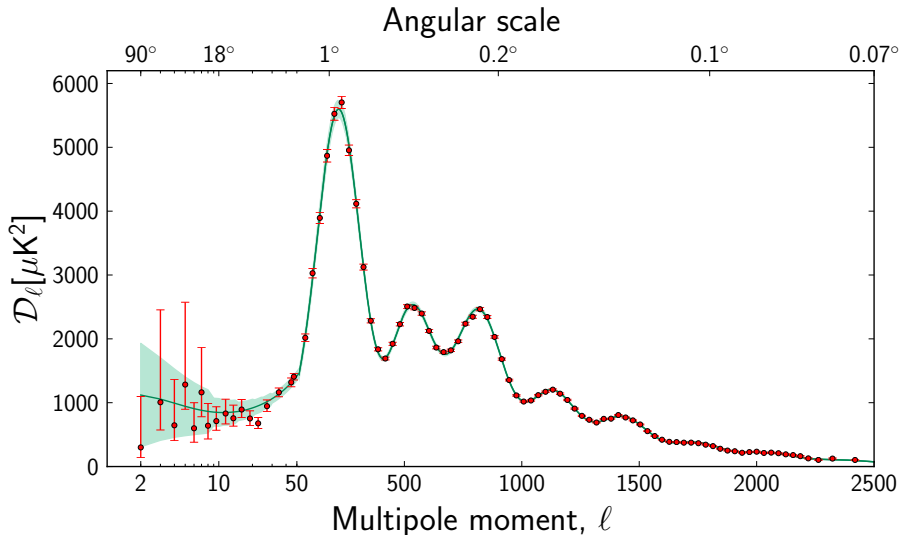
- Amplitude remains constant, while superhorizon, e.g. $k/a < H$
- Subhorizon Inhomogeneities of DM start to grow at MD-stage, $\delta\rho_{CDM}/\rho_{CDM} \propto a$ from $T \approx 0.8 \text{ eV}$
Smaller objects (first stars, dwarf galaxies) are first to form
- Subhorizon Inhomogeneities of baryons join those of DM only after recombination, $\delta\rho_{CDM}/\rho_{CDM} \propto a$ from $T_{rec} \approx 0.25 \text{ eV}$
- at recombination $\delta\rho_B/\rho_B \sim \delta T/T \sim 10^{-4}$ and would grow only by a factor $T_{rec}/T_0 \sim 10^3$ without DM
- Subhorizon Inhomogeneities of photons $\delta\rho_\gamma/\rho_\gamma$ oscillate with constant amplitude at RD and with decreasing amplitude at MD, thus we can measure $T_{RD/MD}/T_{rec}$
- Phase of oscillations decoupled after recombination depends on the wave-length, recombination time and sound speed

$$\delta\rho_\gamma/\rho_\gamma \propto \cos\left(k \int_0^{t_r} \frac{v_s dt}{a(t)}\right) = \cos(kl_{sound})$$

●

$$\delta T(\theta, \varphi) = \sum a_{lm} Y_{lm}(\theta, \varphi),$$

$$\langle a_{lm}^* a_{lm} \rangle = C_l \equiv 2\pi \mathcal{D}_l / (l(l+1))$$

CMB measurements (Planck) $H_0, \Omega_{DM}, \Omega_B, \Omega_\Lambda, \Delta_{\mathcal{R}}, n_s$ 

Other ways of testing inflation

- Curvature: the World is flat
not convincing for many
- Relic tensor modes (gravitational waves)
low- l B -mode: well below Galactic foreground
- preheating: $T_{reh} \rightarrow N_e, GW$?
tiny effects, $n_s, r = f(\log(N_e))$, GW from clumps
- Direct tests: inflaton potential
only in specific models with light inflaton
- Generic for many-field inflation are
isocurvature modes, non-Gaussianity
- Exotic signatures
primordial black holes, GW from oscillons, etc

Outline

1 Inflation

2 Reheating

Reheating

After inflation we must produce particles
to enter the radiation dominating stage
i.e. we must reheat the Universe

inflaton couples to SM

- perturbative... e.g. decays:

$$\phi \rightarrow hh, \text{ reheating at } H = \Gamma$$

- through oscillations induced by inflaton

time-dependent external force $F(t)$ or mass $m(t)$

— can be resonantly amplified !!

— most efficient:

tachionic, when $m^2(t) < 0$

Particle production I

equation of motion

$$\ddot{\phi}(t, \mathbf{x}) - \Delta\phi(t, \mathbf{x}) + m^2\phi(t, \mathbf{x}) = 0 \quad \phi \propto e^{iEt + i\mathbf{k}\mathbf{x}}$$

for particular 3-momenta looks as oscillator

$$\ddot{\phi}_k(t) + (\mathbf{k}^2 + m^2)\phi_k(t) = 0 \quad \phi(t, \mathbf{x}) = \int d^3x \phi_k(t) e^{i\mathbf{k}\mathbf{x}}$$

Quantum physics:

even in vacuum (no particles)

$$\phi_k = \phi_k^{vac}(t) \neq 0 \quad !!$$

Particle production II

In the expanding Universe

$$\ddot{\phi}_k(t) + 3H(t)\dot{\phi}_k(t) + \left(\frac{\mathbf{k}^2}{a^2(t)} + m^2 \right) \phi_k(t) = 0$$

interaction with inflaton $X(t)$, e.g. $X^2\phi^2$:

$$\ddot{\phi}_k(t) + 3H(t)\dot{\phi}_k(t) + \left(\frac{\mathbf{k}^2}{a^2(t)} + m^2 + X^2(t) \right) \phi_k(t) = 0$$

oscillator with time-dependent frequency can be excited if
 — $\Omega_X \gg \Omega_{\phi_k}$ high-frequency (this case)

among other generic options

- at zero crossings, that is $\Omega_X^{eff} \simeq 0$ large field X
- at tachyonic time slots with $\Omega_X^{eff2} < 0$

Natural completion with R^2

Y.Ema (2017), D.G., A.Tokareva (2018)

 $\xi h^2 R$ induces R^2 -term

hep-th/9510140

$$S_0 = \int d^4x \sqrt{-g} \left(-\frac{M_P^2 + \xi h^2}{2} R + \frac{\beta}{4} R^2 + \frac{(\partial_\mu h)^2}{2} - \frac{\lambda}{4} (h^2 - v^2)^2 \right).$$

introduce a Lagrange multiplier L and auxiliary scalar \mathcal{R}

$$S = \int d^4x \sqrt{-g} \left(\frac{(\partial_\mu h)^2}{2} - \frac{\lambda}{4} (h^2 - v^2)^2 - \frac{M_P^2 + \xi h^2}{2} \mathcal{R} + \frac{\beta}{4} \mathcal{R}^2 - L\mathcal{R} + LR \right).$$

integrate out \mathcal{R}

$$S = \int d^4x \sqrt{-g} \left(\frac{(\partial_\mu h)^2}{2} - \frac{\lambda}{4} (h^2 - v^2)^2 + LR - \frac{1}{\beta} \left(L + \frac{1}{2} \xi h^2 + \frac{1}{2} M_P^2 \right)^2 \right)$$

$$\xi \rightarrow \xi^2 / \beta$$

with

$$\beta \gtrsim \frac{\xi^2}{4\pi}$$

everything here look healthy

Further transformations. . .

Y.Ema (2017)

introducing scalaron ϕ with $m = M_P / \sqrt{3\beta}$

$$g_{\mu\nu} \rightarrow \Omega^2 g_{\mu\nu}, \quad \Omega^2 \equiv \frac{2L}{M_P^2}, \quad L \rightarrow \phi \equiv M_P \sqrt{\frac{2}{3}} \log \Omega^2.$$

and setting $M_P = 1/\sqrt{6}$

$$S = \int d^4x \sqrt{-g} \left(-\frac{R}{12} + \frac{1}{2} e^{-2\phi} (\partial h)^2 + \frac{1}{2} (\partial \phi)^2 - \frac{1}{4} e^{-4\phi} \left(\lambda h^4 + \frac{1}{36\beta} (e^{2\phi} - 1 - 6\xi h^2)^2 \right) \right)$$

both gravity and scalar sector are weakly coupled up to M_P with $\beta \gtrsim \xi^2 / (4\pi)$

And one more...

D.G., A.Tokareva (2018)

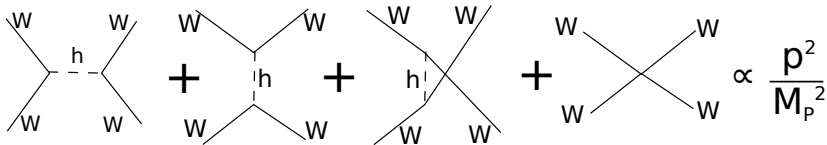
$$h = e^\Phi \tanh H, \quad \phi = e^\Phi / \cosh H,$$

The scalar sector becomes

$$L = \frac{1}{2} \cosh^2 H (\partial\Phi)^2 + \frac{1}{2} (\partial H)^2 - \frac{\lambda}{4} \sinh^4 H - \frac{\lambda}{144\beta} (1 - e^{-2\Phi} \cosh^2 H - 6\xi \sinh^2 H)^2.$$

and the Higgs coupling to gauge bosons, e.g.,

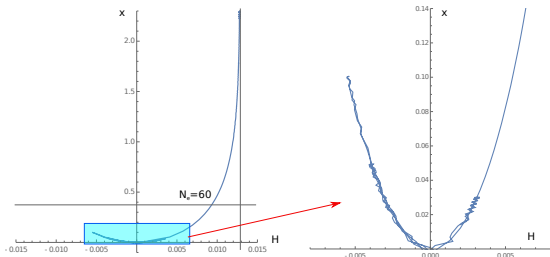
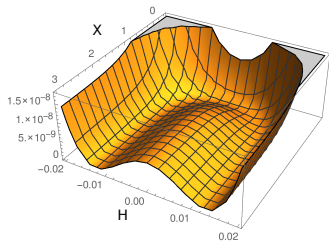
$$L_{gauge} = \frac{g^2 h^2}{4} e^{-2\phi} W_\mu^+ W_\mu^- = \frac{g^2}{4} \sinh^2 H W_\mu^+ W_\mu^-.$$



$$\mathcal{A} \sim \frac{g^2 p^2}{m_W^2} \left(\frac{4}{g^2} \left(\frac{dm_W(H)}{dH} \right)^2 - 1 \right) \rightarrow \mathcal{A} \propto \frac{p^2}{M_p^2}$$

Cosmological spectra

D.G., A.Tokareva 1807.02392



Scalar perturbations: adiabatic

1701.07665

$$\beta + \frac{\xi^2}{\lambda} \simeq 2 \times 10^9$$

At small β like in the Higgs-inflation

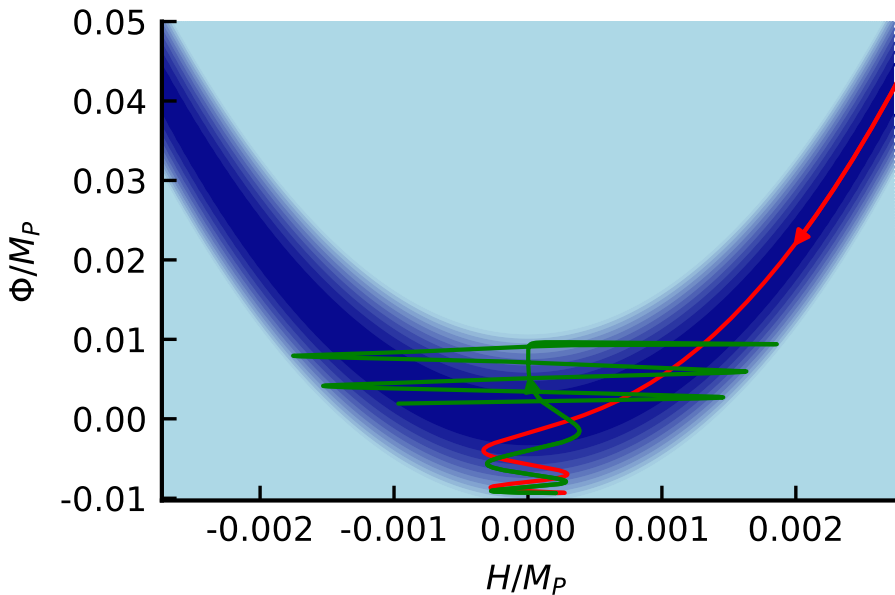
heavy scalaron is integrated out

$$\frac{\xi^2}{4\pi} < \beta < \frac{\xi^2}{\lambda} \rightarrow 5 \times 10^{13} \text{ GeV} < m < 1.5 \times 10^{15} \text{ GeV}$$

We can calculate observables at any energy scale up to Planck

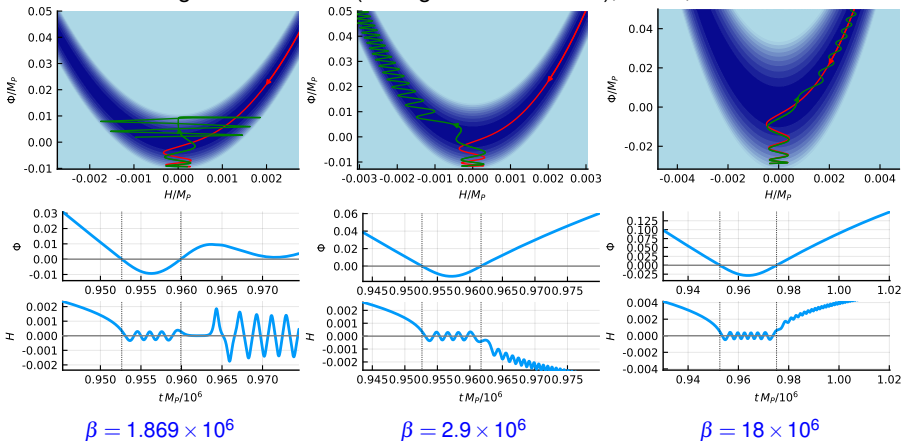
Reheating. . . all masses depend on oscillating Higgs

- Huge spikes do not reheat !! 1812.10099
- it is a highly nonlinear system
- ω^2 for W_L and Z_L rapidly oscillates and becomes negative for some time
- similar for one of the scalars (a mixture of Higgs and scalaron)
- we expect instant preheating, at least for a region in model parameter space F.Bezrukov, D.G., Ch.Shepherd, A.Tokareva (2019)
- but for precise number the backreaction must be taken into account



Scalaron Φ and Higgs H evolution after inflation

Homogeneous modes (mixing in kinetic sector), $\dot{\Phi} < 0$, $\dot{\Phi} > 0$



$$V(H, \Phi) = \frac{1}{4} \left(\lambda + \frac{\xi^2}{\beta} \right) H^4 + \frac{M_P^2}{6\beta} \Phi^2 - \frac{\xi M_P}{\sqrt{6}\beta} \Phi H^2 + \frac{7}{108\beta} \Phi^4 + \frac{\xi}{6\beta} \Phi^2 H^2 - \frac{M_P}{3\sqrt{6}\beta} \Phi^3$$

Linear equations for gauge bosons

Gauge bosons (e.g. W^\pm)

$$L_g^{(2)} = -\frac{1}{2} \left(\partial_\mu W_\nu^+ - \partial_\nu W_\mu^+ \right) \left(\partial_\lambda W_\rho^- - \partial_\rho W_\lambda^- \right) g^{\mu\lambda} g^{\nu\rho} + \frac{g^2 H_0^2}{4} W_\mu^+ W_\nu^- g^{\mu\nu},$$

transverse modes

$$\ddot{W}_k^T + 3\mathcal{H}\dot{W}_k^T + \frac{k^2}{a^2} W_k^T + m_T^2 W_k^T = 0, \quad m_T \equiv \frac{g}{2} H_0$$

longitudinal modes

$$\ddot{W}_k^L + 3\mathcal{H}\dot{W}_k^L + \omega_W^2(\mathbf{k}) W_k^L = 0.$$

$$\omega_W^2(\mathbf{k}) = \frac{k^2}{a^2} + m_T^2 - \frac{k^2}{k^2 + a^2 m_T^2} \left(\mathcal{H} + 2\mathcal{H}^2 + 3\mathcal{H} \frac{\dot{m}_T}{m_T} + \frac{\ddot{m}_T}{m_T} - \frac{3(\dot{m}_T + \mathcal{H} m_T)^2}{k^2/a^2 + m_T^2} \right).$$

for $k/a \gg m_T$ after inflation

$$\omega_W^2 = \frac{k^2}{a^2} + \frac{g^2}{4} H_0^2 + \frac{\xi}{3\beta} \Phi_0^2 + \left(\lambda + \frac{\xi^2}{\beta} \right) H_0^2 - \frac{\xi\sqrt{2}}{\beta\sqrt{3}} M_P \Phi_0,$$

Linear equations for scalaron and Higgs

A mixture of the two scalars

$$m_{L,H}^2 = \frac{1}{2} (V_{H_0 H_0} + V_{\Phi_0 \Phi_0}) \times \left(1 \pm \sqrt{1 - 4 \frac{V_{\Phi_0 \Phi_0} V_{H_0 H_0} - V_{\Phi_0 H_0}^2}{(V_{H_0 H_0} + V_{\Phi_0 \Phi_0})^2}} \right).$$

after inflation can be approximated as

$$m_{H,L}^2 \approx V_{H_0 H_0} \approx 2 \left(\lambda + \frac{\xi^2}{\beta} \right) H_0^2 + \left(\lambda + \frac{\xi^2}{\beta} \right) H_0^2 - \frac{\sqrt{2}\xi}{\sqrt{3}\beta} M_P \Phi_0$$

Then we calculate the Bogolubov coefficients from the field solutions

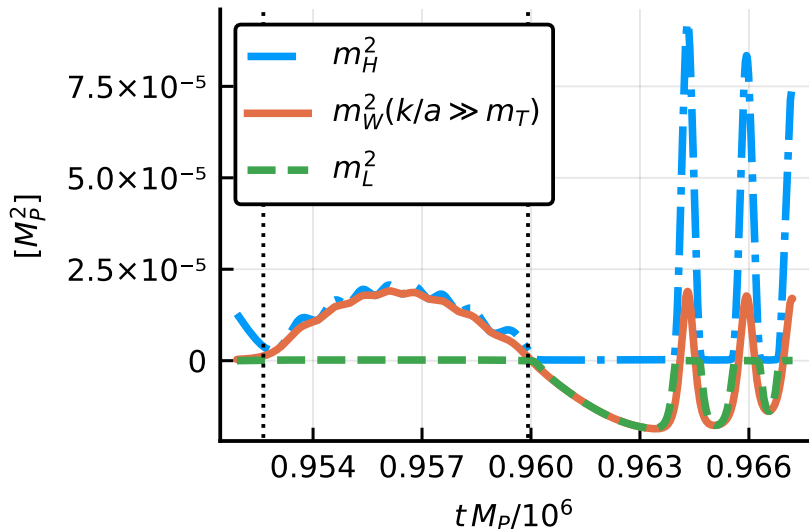
$f_{\mathbf{k}}(t) = e^{-i\omega t} / \sqrt{2\omega(\mathbf{k})}$ at $t \rightarrow 0$, which gives for the number density

$$n_{\mathbf{k}} = \frac{1}{2} \left| \sqrt{\omega(\mathbf{k})} \dot{f}_{\mathbf{k}} - \frac{i}{\sqrt{\omega(\mathbf{k})}} f_{\mathbf{k}} \right|^2$$

and the physical energy

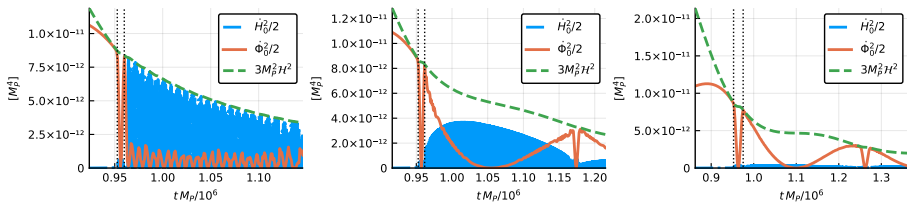
$$\rho = \int \frac{d^3\mathbf{k}}{(2\pi)^3 a^3(t)} \omega(\mathbf{k}) n_{\mathbf{k}}.$$

Numerical results: mass squared

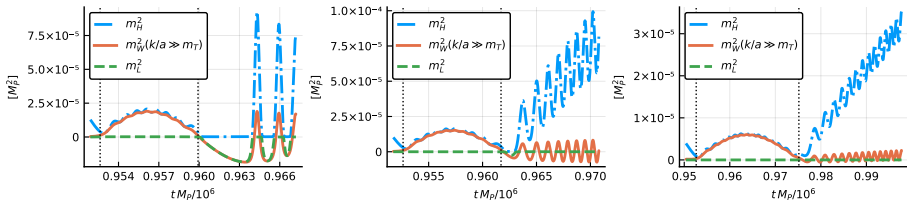


Numerical results for perturbations

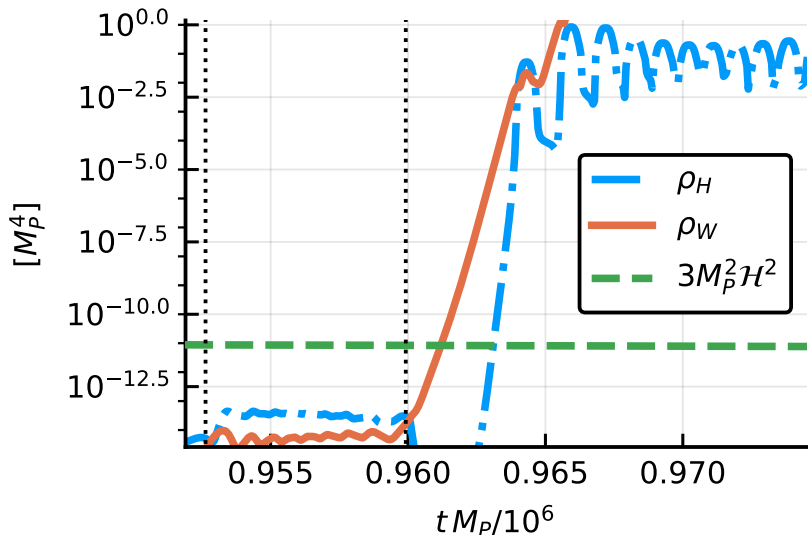
energy distribution over homogeneous modes



mass squared for the relevant perturbations

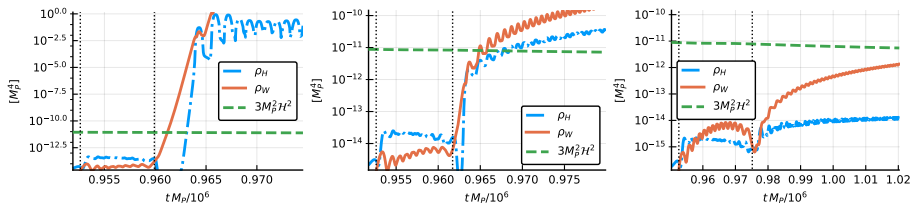


Numerical results: energy in perturbations

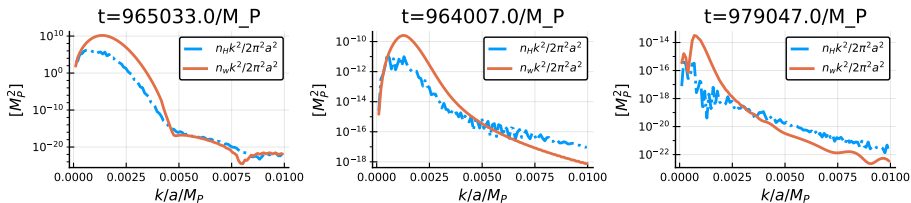


Spectra and energy density of produced particles

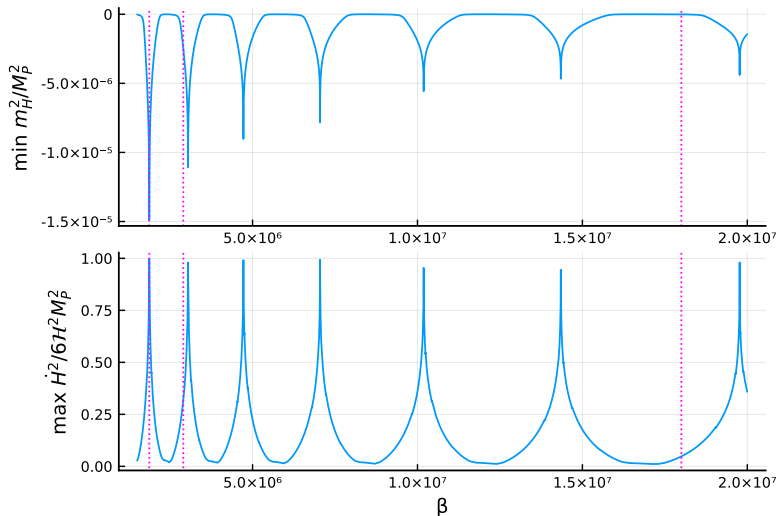
neglecting the adiabaticity conditions... and backreaction



spectra at a reference moment



The resonance positions and energy in Higgs between two zero crossings are correlated



Direct check of the inflation potential

– Higgs frequency is much and scalaron frequency is significantly higher than the expansion rate:

It seems that the reheating is instant (can be refined at NLO)

$$N_e = 59, \quad n_s = 0.97, \quad r = 0.0034.$$

– Higgs selfcoupling becomes canonical λ below the scalaron scale $\mu = M_P/\sqrt{3\beta}$

$$V(H, \Phi) = \frac{1}{4} \left(\lambda + \frac{\xi^2}{\beta} \right) H^4 + \frac{M_P^2}{6\beta} \Phi^2 - \frac{\xi M_P}{\sqrt{6}\beta} \Phi H^2 + \frac{7}{108\beta} \Phi^4 + \frac{\xi}{6\beta} \Phi^2 H^2 - \frac{M_P}{3\sqrt{6}\beta} \Phi^3$$

cancellation: $\xi M_P/\beta \times 1/\mu^2 \times \xi M_P/\beta \rightarrow \xi^2/\beta$