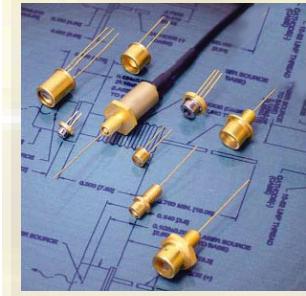


# Полупроводниковые лазеры и революция света

Г.С. Соколовский

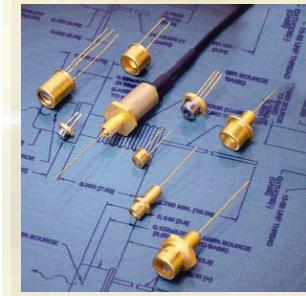


*ФТИ им. А.Ф. Иоффе*

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## Laser diodes

Grigorii Sokolovskii<sup>1,2</sup>



<sup>1</sup>Ioffe Institute, St Petersburg, Russia

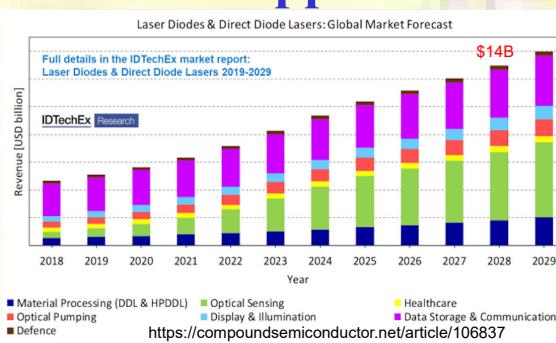
<sup>2</sup>Aston University, Birmingham, UK

[gs@mail.ioffe.ru](mailto:gs@mail.ioffe.ru)

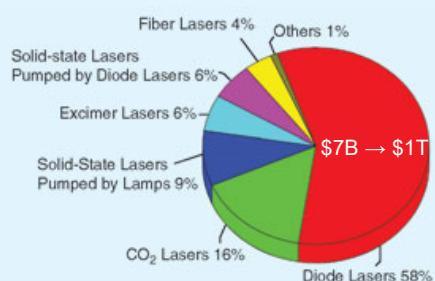
## Outline

- Applications of semiconductor lasers. ‘Revolution’ of light.
- Basic principles of laser operation (only to remind).
- Absorption and gain in semiconductors, inversion of population and conditions for its achievement.
- Rate equations. Lasing threshold. Laser efficiency.
- Modulation of the laser signal. Gain clamping.
- Fiber-optical applications. DFB and DBR lasers. VCSELs and VECSELs
- Waveguide in LD structure. Modes of the waveguide.
- Beam-propagation (‘beam-quality’) parameter  $M^2$  and how to measure it. Achieving maximum power density with LDs.
- Interference focusing of LD beams.
- What’s next? New applications and problems to solve.

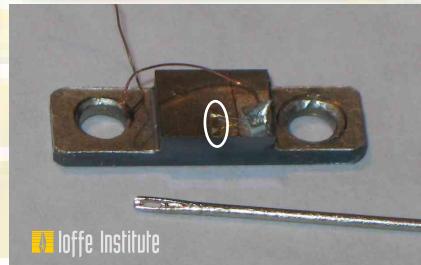
## Applications of LDs



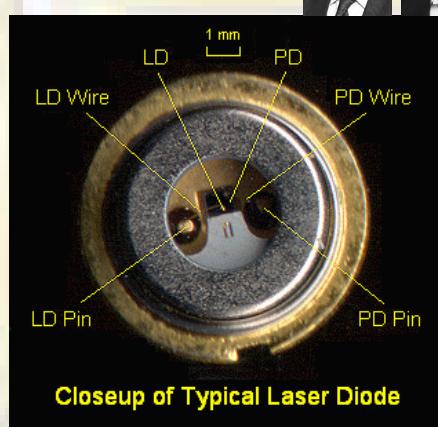
- Telecoms
- Data reading/recording
- Laser printing
- Pumping of the solid-state lasers
- ‘Direct’ applications: cutting
- Biology and medicine



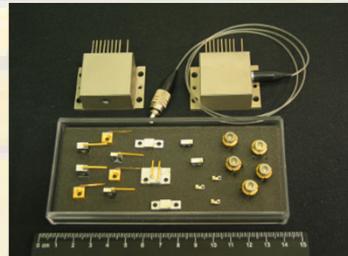
## Applications of LDs



- Telecoms
- Data reading/recording
- Laser printing
- Pumping of the solid state and fiber lasers
- ‘Direct’ applications: drilling/cutting etc
- Biomedicine

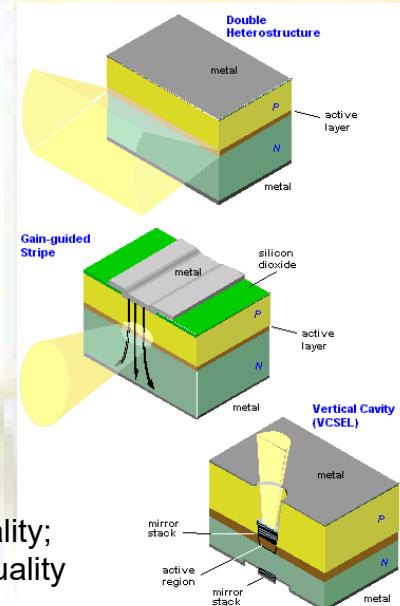


## Types of LDs



1. Surface and edge-emitting  
(e.g. VCSELs, VECSELs,  
GCSELs, etc and ‘striped’ LDs)

2. Broad and narrow area  
Broad: high power, poor beam quality;  
Narrow: low power, better beam quality

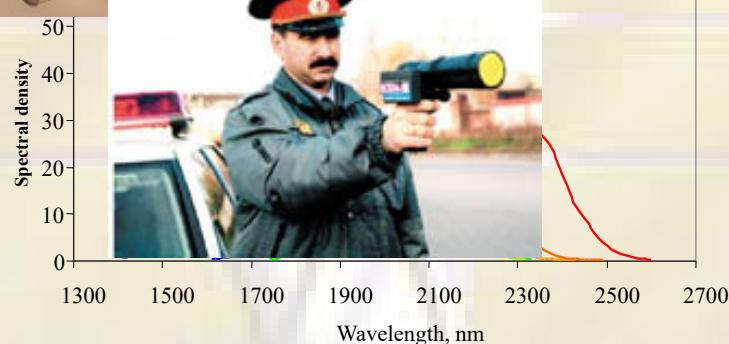


## LDs for Mid-IR (1600-5000 nm)



In Mid Infrared spectral range 1600-5000 nm lies strong absorption bands of such important gases and liquids as:

$\text{CH}_4$ ,  $\text{H}_2\text{O}$ ,  $\text{CO}_2$ ,  $\text{CO}$ ,  $\text{C}_2\text{H}_2$ ,  $\text{C}_2\text{H}_4$ ,  $\text{C}_2\text{H}_6$ ,  $\text{CH}_3\text{Cl}$ ,  $\text{OCN}$ ,  $\text{HCN}$ ,  $\text{H}_2\text{OCl}$ ,  $\text{HBr}$ ,  $\text{H}_2\text{S}$ ,  $\text{HCN}$ ,  $\text{NH}_3$ ,  $\text{NO}_2$ , glucose and many others.



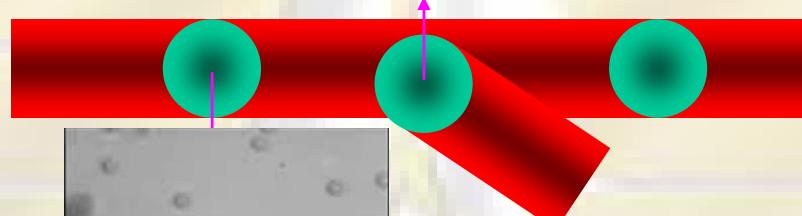
## Optical tweezers



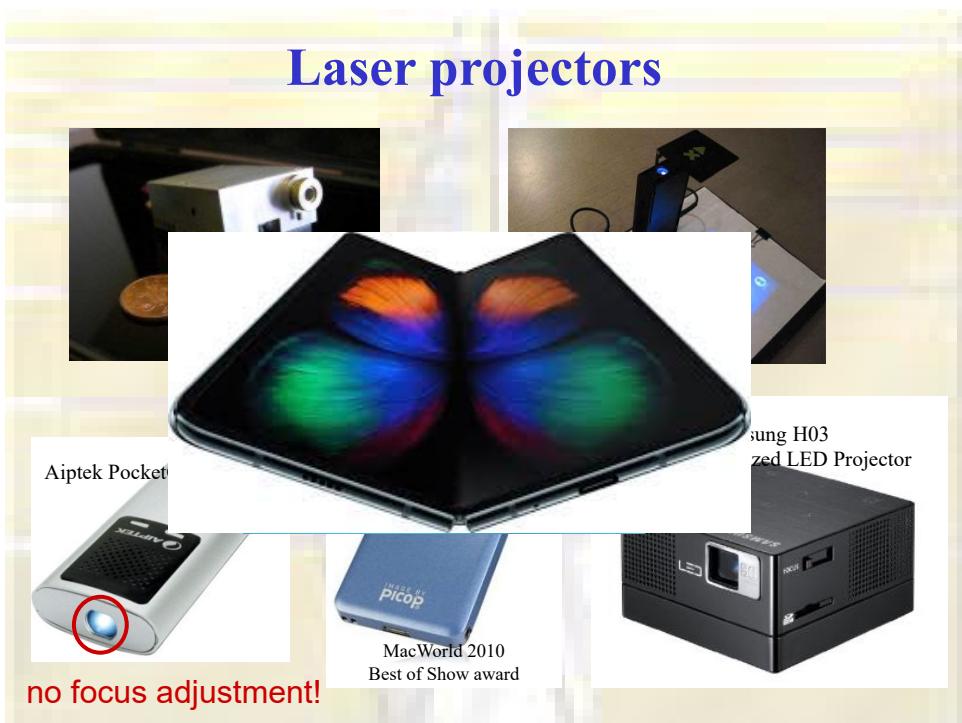
Waveguide: light in matter



'Inverse' waveguide: matter in light – optical tweezers

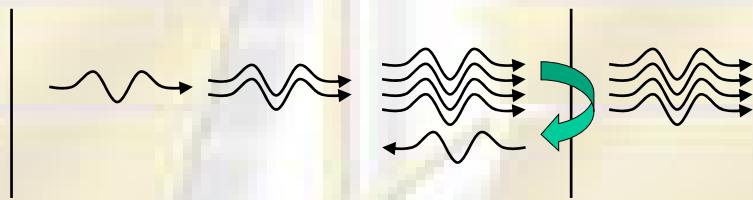


## Laser projectors



## Basic principles of laser operation

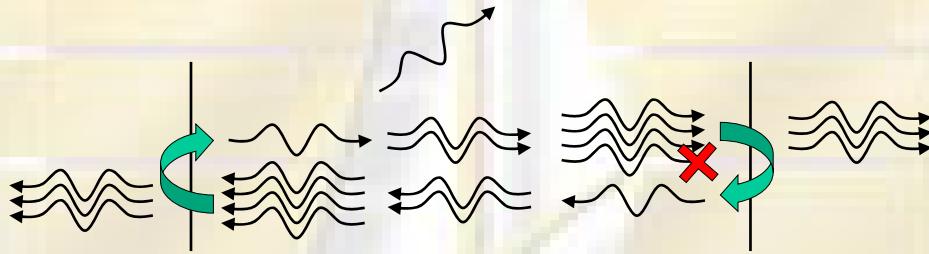
Gain + Feedback



$$S(x) = S_0 e^{\alpha x}$$

## Basic principles of laser operation

### Lasing threshold



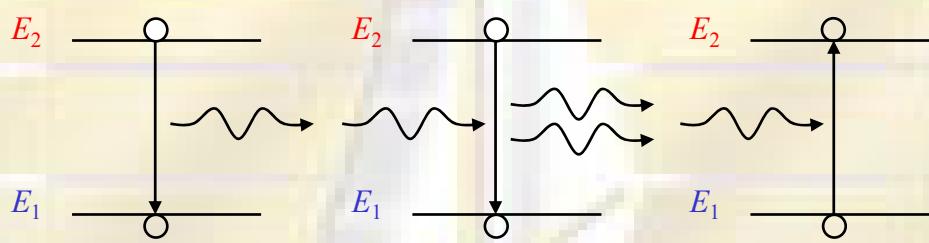
$$S(x) = S_0 e^{\alpha x} \quad S(2L) = R^2 S_0 e^{2\alpha L} = S_0$$

$$\alpha_{out} = \frac{1}{L} \ln R \quad \alpha_{threshold} = \alpha_{out} + \alpha_{in}$$

## Basic principles of laser operation



### Spontaneous and stimulated emission



Spontaneous

Stimulated

Absorption

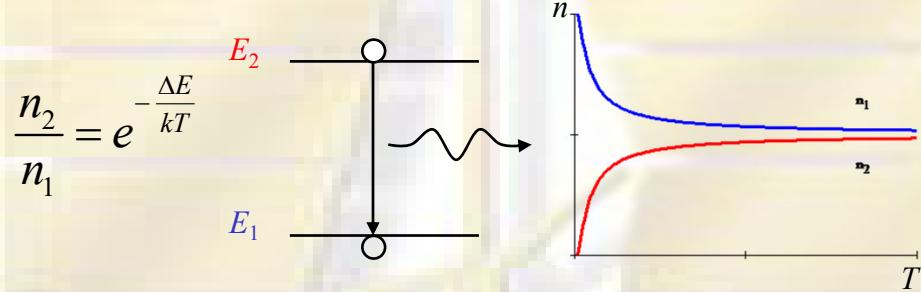
$$\frac{dS}{dt} = An$$

$$\frac{dS}{dt} = BSn$$

$$\frac{dS}{dt} = -BSn$$

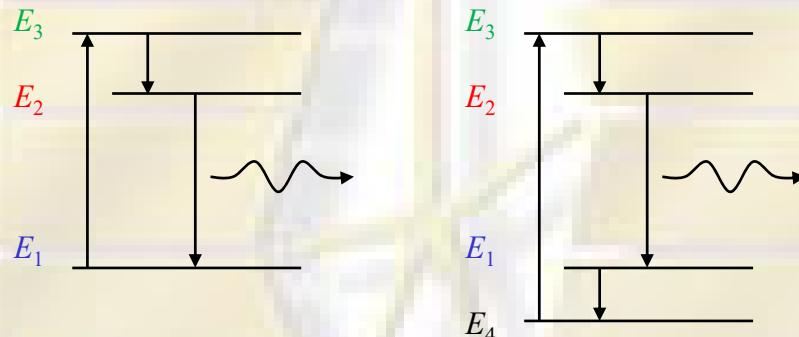
## Basic principles of laser operation

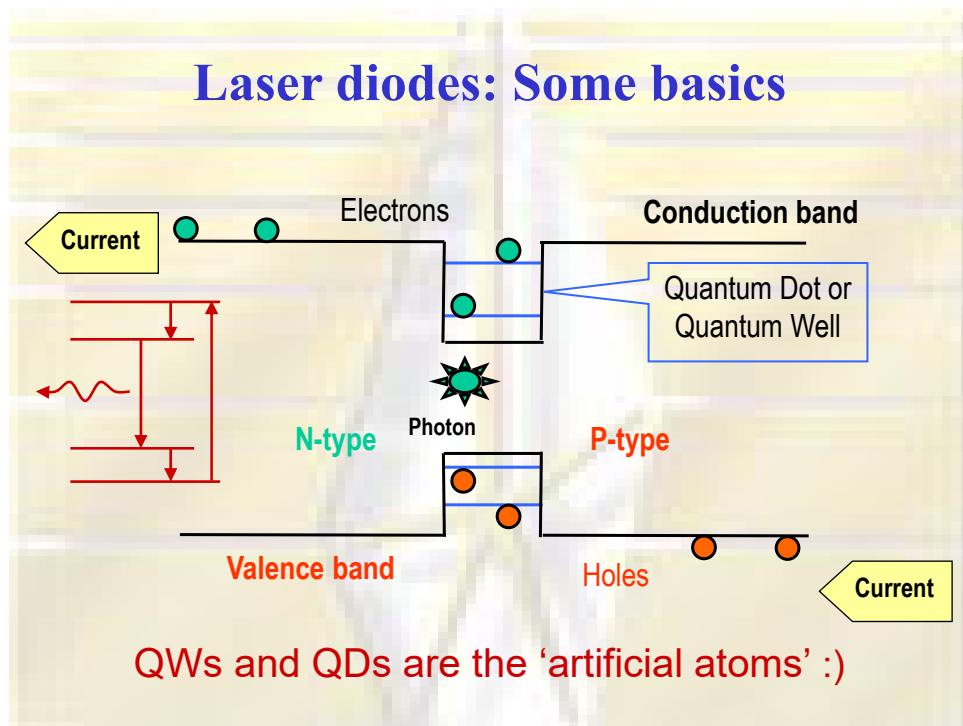
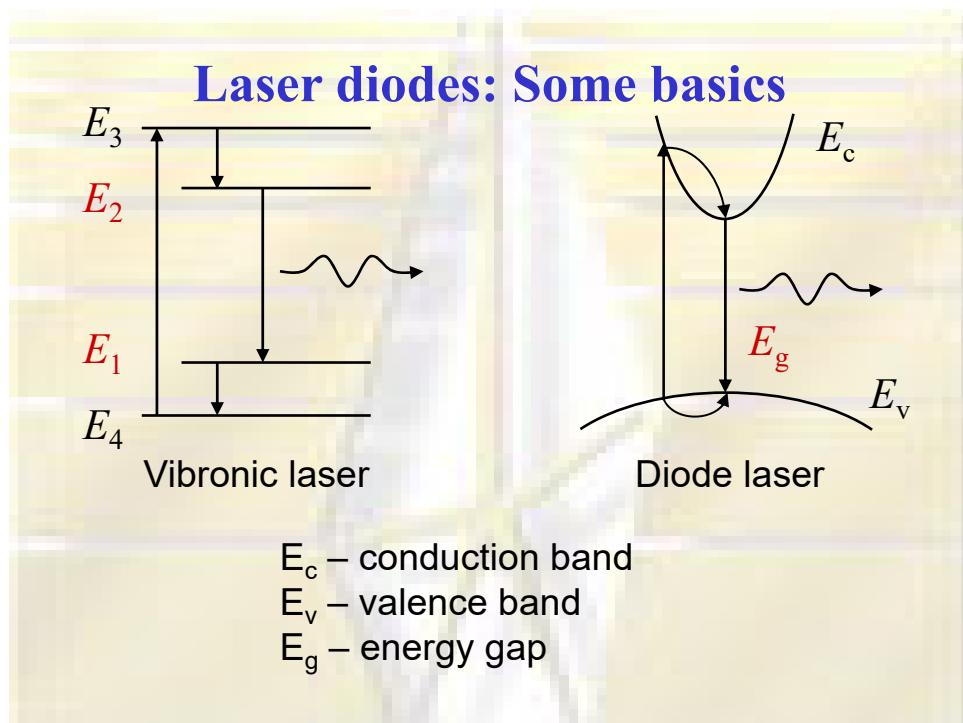
Gain: inversion of population



‘Negative’ temperature

## 3- & 4-level systems





## Light generation and absorption in semiconductors

‘Golden’ rule of Quantum mechanics:  $W = \frac{2\pi}{\hbar} |\langle f | H | i \rangle|^2 \rho_f$

Absorption probability:  $W_{absorption} = B |M|^2 S \rho(E) f_v (1 - f_c)$

Radiation probability:  $W_{radiation} = B |M|^2 (S+1) \rho(E) (1 - f_v) f_c$

The total radiation probability:

$$W = W_{radiation} - W_{absorption} = B |M|^2 \rho(E) f_c (1 - f_v) + S B |M|^2 \rho(E) (f_c - f_v)$$

$$W(E) = r_{sp}(E) + S r_{st}(E)$$

$$r_{sp}(E) = B |M|^2 \rho(E) f_c (1 - f_v)$$

$$r_{st}(E) = B |M|^2 \rho(E) (f_c - f_v)$$

## Light generation and absorption in semiconductors

What is the condition for stimulated emission?  $r_{st}(E) > 0$

$$r_{st}(E) = B |M|^2 \rho(E) (f_c - f_v) > 0$$

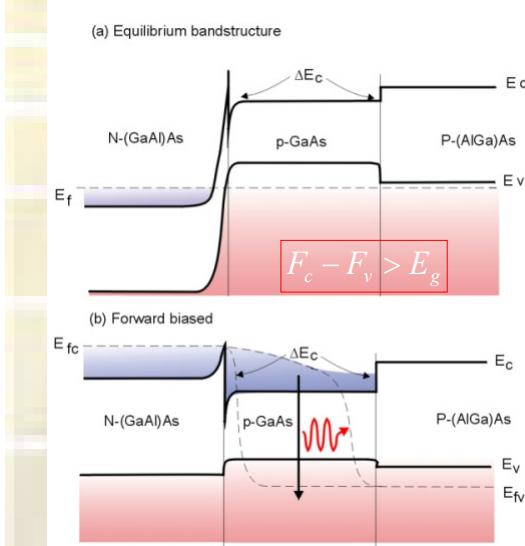
$$f_c - f_v > 0 \Leftrightarrow \frac{1}{1 + \exp\left(\frac{E_c - F_c}{kT}\right)} - \frac{1}{1 + \exp\left(\frac{E_v - F_v}{kT}\right)} > 0$$

$$F_c - F_v > E_c - E_v \geq E_g$$

Inversion of population:

$$F_c - F_v > E_g$$

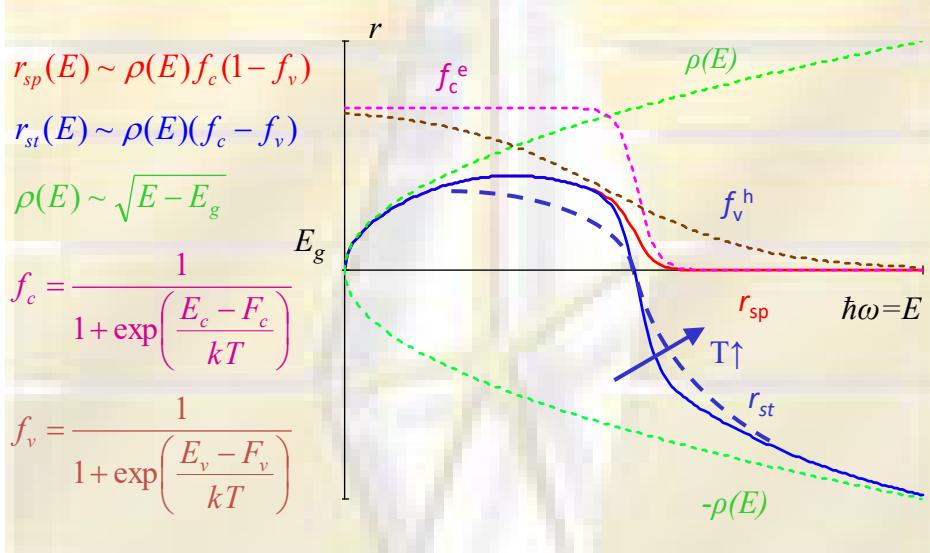
## Inversion of population



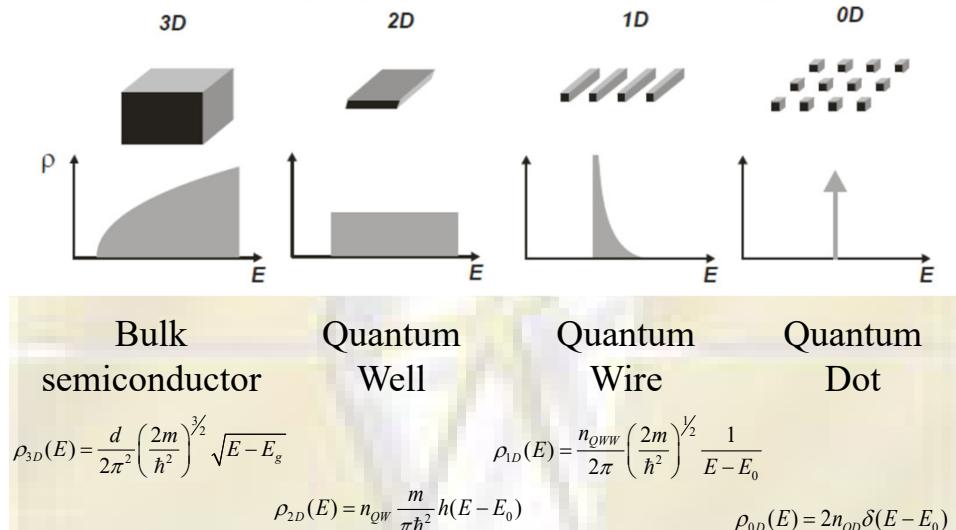
Forward-biased p-n-junction is both the source for the inversion of population and for the name of the “laser diodes”

<http://britneyspears.ac/physics/fplasers/fplasers.htm>

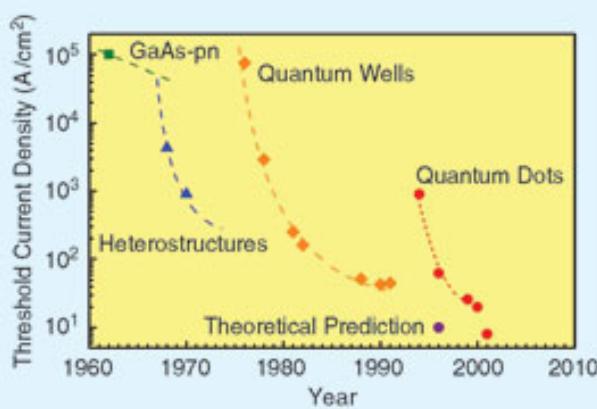
## Stimulated and spontaneous emission rate: 3D



## Density of states: Low-Dimensional vs 3D

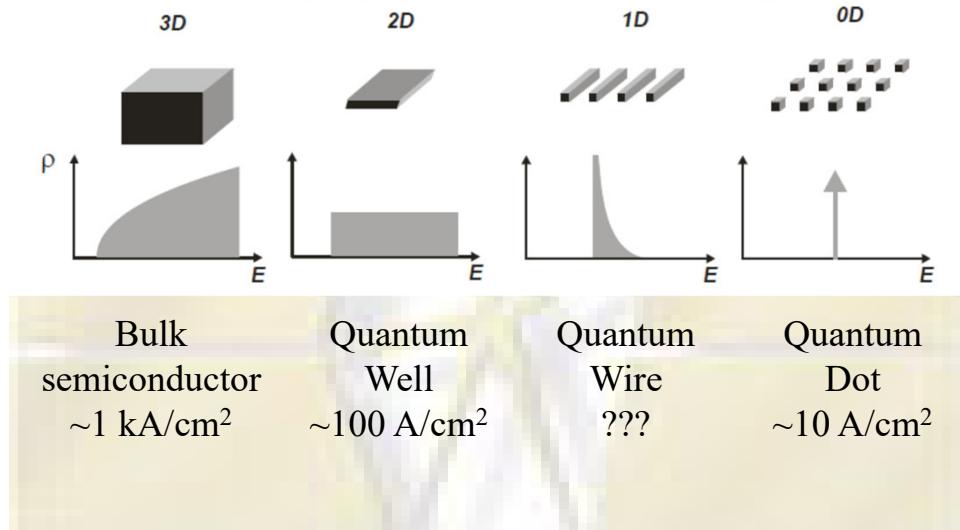


## Evolution of the threshold current density: from 3D to Low-Dimensional



[D.Bimberg, IEEE Phot. Soc. Newsletter 6, 3 (2013)]

## Density of states: Low-Dimensional vs 3D



## QDs vs 3D

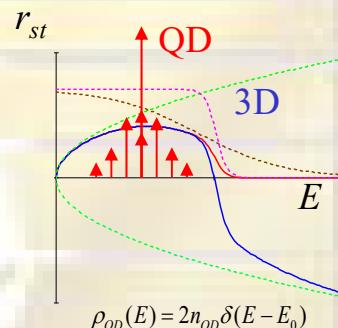
Lower threshold

But: at low QD density threshold may NOT be reached

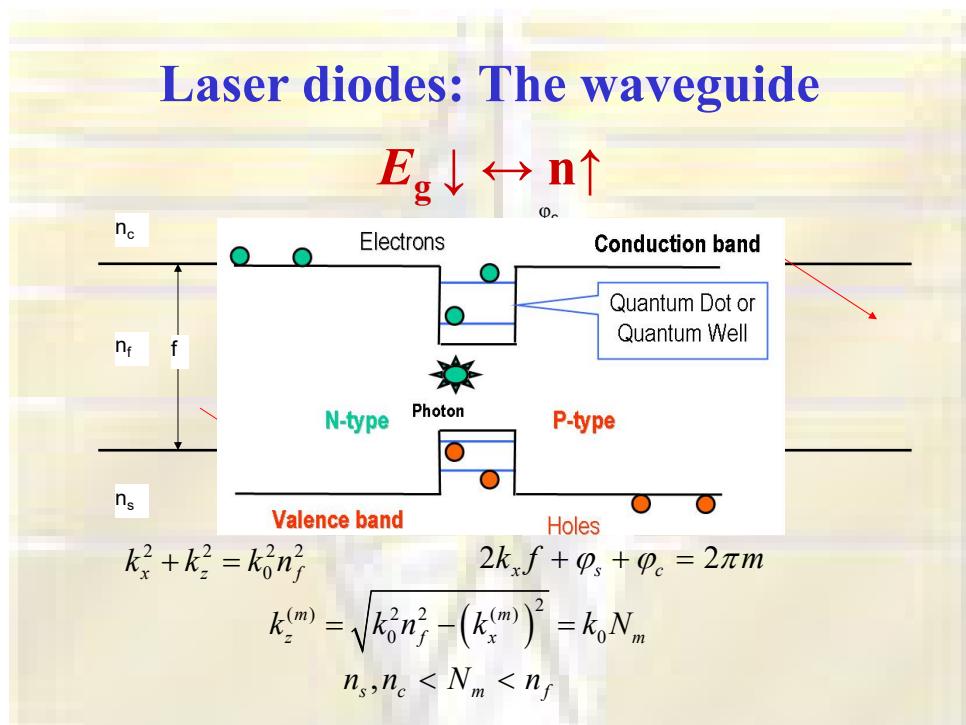
Lower temperature dependence of the laser parameters

Narrow spectrum for IDENTICAL QDs

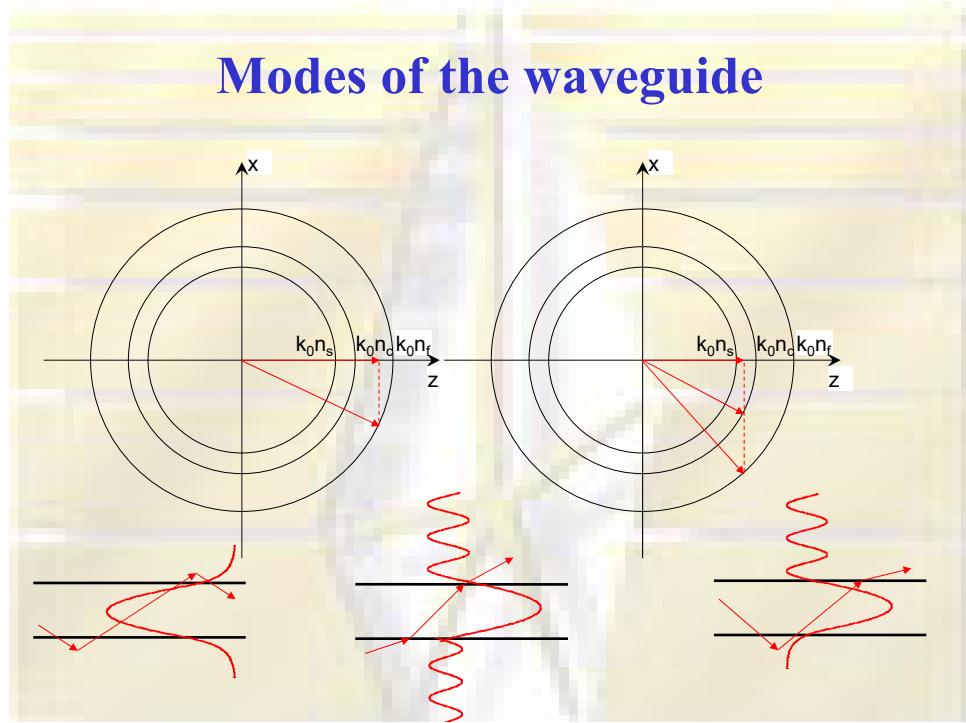
But: broad spectrum for inhomogeneously broadened QDs



## Laser diodes: The waveguide



## Modes of the waveguide



## Composing Rate Equations

### The simplest model

$J$  is the average pump current density

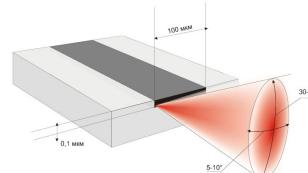
$n$  is the average carrier concentration in the active region

$S$  is the average photon concentration (average intensity)

Carriers, steady-state:

$$\text{Pump rate} = \text{Recombination rate}$$

$$\frac{J}{ed} = r$$



Carriers, time-dependent:

$$\frac{dn}{dt} = \frac{J}{ed} - r \quad \frac{dn}{dt} = \frac{J}{ed} - r_{sp} - S r_{st}$$

$$\frac{dn}{dt} = \frac{J}{ed} - \frac{n}{\tau_s} - \frac{c}{N_{eff}} g(n) S$$

$$r_{sp} = B n^2 = \frac{n}{\tau_s}, \quad \tau_s = \frac{1}{B n}$$

$$r_{st} \sim \text{gain} \quad r_{st} = \frac{c}{N_{eff}} g(n)$$

## Composing Rate Equations

Photons, steady-state:

$$\text{Total radiation probability} = \text{Recombination rate}$$

$$\frac{S}{\tau_p} = r$$

Photons, time-dependent:

$$\frac{dS}{dt} = r - \frac{S}{\tau_p}$$

$$\frac{dS}{dt} = \Gamma(r_{sp} + S r_{st}) - \frac{S}{\tau_p}$$

$$\frac{dS}{dt} = \Gamma \frac{c}{N_{eff}} g(n) S + \Gamma \beta \frac{n}{\tau_s} - \frac{S}{\tau_p}$$

$$\begin{cases} \frac{dS}{dt} = \Gamma A(n - n_t) S + \Gamma \beta \frac{n}{\tau_s} - \frac{S}{\tau_p} \\ \frac{dn}{dt} = \frac{J}{ed} - \frac{n}{\tau_s} - A(n - n_t) S \end{cases}$$

$\Gamma$  - confinement factor

$\beta$  - spontaneous emission factor

$n_t$  - transparency concentration

$A$  - linear gain coefficient

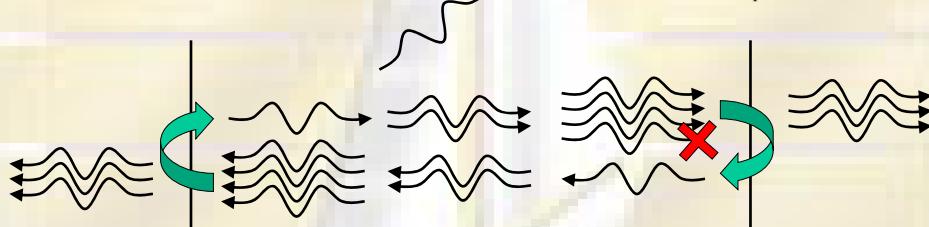
$\tau_s$  - spontaneous recomb. time

$\tau_p$  - photon lifetime

## Lifetimes

Spontaneous:

$$\tau_s = \frac{1}{Bn} \quad \tau_s \sim 1 \text{ ns}$$



Photon lifetime:

$$\text{if } n \sim n_t, \text{ then: } \frac{dS}{dt} \approx -\frac{S}{\tau_p} \Rightarrow S = S_0 e^{-t/\tau_p}$$

$$t_r = \frac{2LN_{eff}}{c} \quad S(t_r) = S_0 e^{-\frac{2LN_{eff}}{c\tau_p}} = S_0 e^{-2L\alpha_{in}R^2}$$

$$\frac{1}{\tau_p} = \frac{c}{N_{eff}} \left( \alpha_{in} + \frac{1}{L} \ln \frac{1}{R} \right) \quad \tau_p \sim 1 \text{ ps}$$

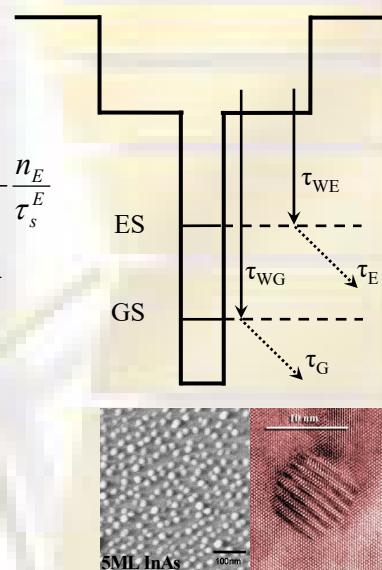
$L \uparrow \rightarrow \tau_p \uparrow$   
 $\alpha_{in} \uparrow \rightarrow \tau_p \downarrow$   
 $R \uparrow \rightarrow \tau_p \uparrow$

## Rate Equations for QD LD

$$\begin{aligned} \frac{\partial n_W}{\partial t} &= \frac{J}{ed} + \gamma \frac{n_E}{\tau_E} f_W' - \frac{n_W}{\tau_E^0} f_E' - \frac{n_W}{\tau_s^W} \\ \frac{\partial n_E}{\partial t} &= \gamma \frac{n_W}{\tau_E^0} f_E' - \frac{n_E}{\tau_E} f_W' - \frac{n_E}{\tau_0^E} f_G' + \frac{n_G}{\tau_G} f_E' - \frac{n_E}{\tau_s^E} \\ \frac{\partial n_G}{\partial t} &= \frac{n_E}{\tau_0^G} f_G' - A(n_G - n_{tr})S - \frac{n_G}{\tau_G} f_E' - \frac{n_G}{\tau_s^G} \\ \frac{\partial S}{\partial t} &= \Gamma A(n_G - n_{tr})S + \Gamma \beta \frac{n_G}{\tau_s^G} - \frac{S_p}{\tau_p} \end{aligned}$$

...where  $f$  is the filling factor

Y. Wu, L.V. Asryan, JAP 115, 103105 (2014)  
<https://doi.org/10.1063/1.4868472>



## Steady-state solution of the Rate Eqs

$$\begin{cases} \frac{dS}{dt} = \Gamma A(n - n_t) S + \Gamma \beta \frac{n}{\tau_s} - \frac{S}{\tau_p} = 0 \\ \frac{dn}{dt} = \frac{J}{ed} - \frac{n}{\tau_s} - A(n - n_t) S = 0 \end{cases}$$

$$\begin{cases} \Gamma A(n - n_t) S = \frac{S}{\tau_p} - \Gamma \beta \frac{n}{\tau_s} \\ A(n - n_t) S = \frac{J}{ed} - \frac{n}{\tau_s} \end{cases} \quad 0$$

$$\begin{cases} S = \Gamma \tau_p \left( \frac{J}{ed} - \frac{n}{\tau_s} \right) \\ \Gamma A(n - n_t) \tau_p \left( \frac{J}{ed} - \frac{n}{\tau_s} \right) = \frac{J}{ed} - \frac{n}{\tau_s} \end{cases}$$

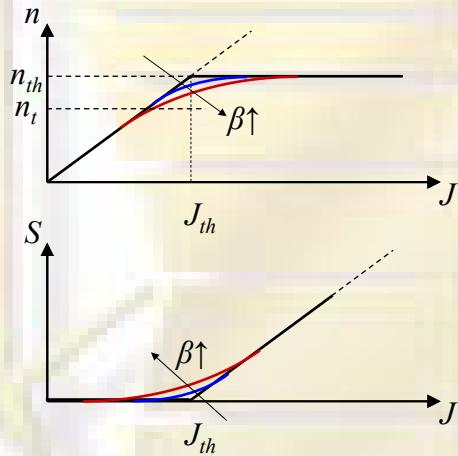
$$\begin{cases} n = \frac{J \tau_s}{ed} \\ n = n_t + \frac{1}{\Gamma A \tau_p} \\ S = 0 \\ S = \frac{\Gamma \tau_p}{ed} \left( J - \frac{ed}{\tau_s} \left( n_t + \frac{1}{\Gamma A \tau_p} \right) \right) \end{cases}$$

## Steady-state solution of the Rate Eqs

$$\begin{cases} n = \frac{J \tau_s}{ed} \\ n = n_t + \frac{1}{\Gamma A \tau_p} \end{cases}$$

$$\begin{cases} S = 0 \\ S = \frac{\Gamma \tau_p}{ed} \left( J - \frac{ed}{\tau_s} \left( n_t + \frac{1}{\Gamma A \tau_p} \right) \right) \end{cases}$$

$$J_{th} = \frac{ed}{\tau_s} \left( n_t + \frac{1}{\Gamma A \tau_p} \right) = \frac{ed}{\tau_s} n_{th}$$

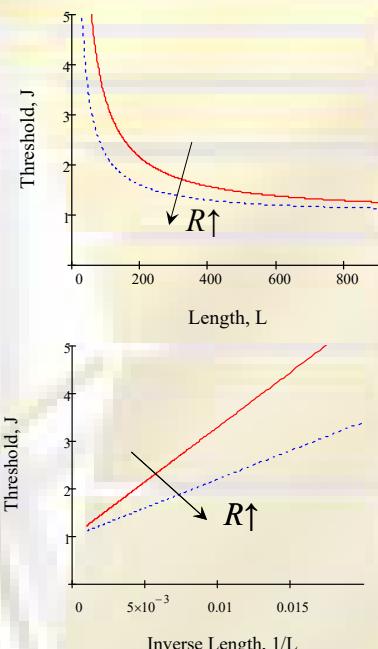
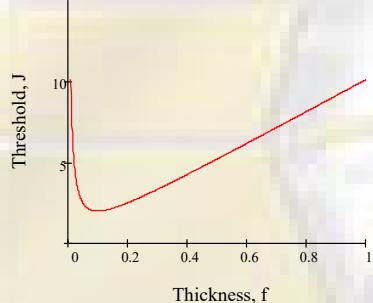


$$n_{th} = n_t + \frac{1}{\Gamma A \tau_p}$$

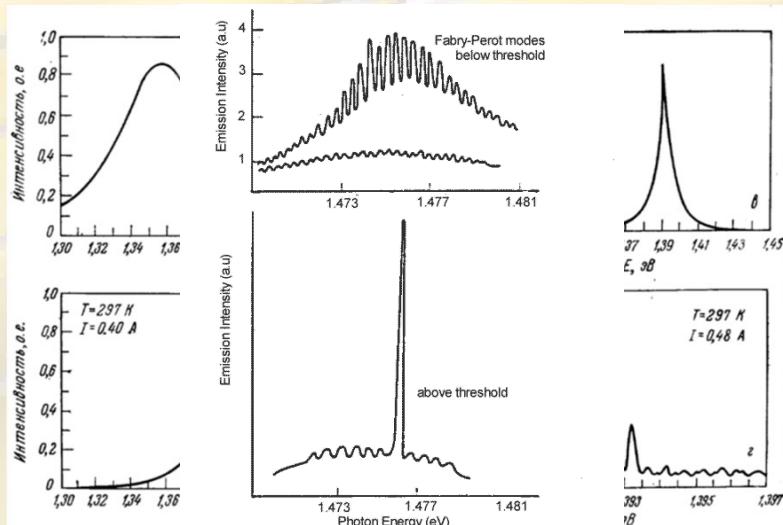
## Lasing Threshold

$$J_{th} = \frac{ed}{\tau_s} \left( n_t + \frac{1}{\Gamma A \tau_p} \right) = \frac{ed}{\tau_s} n_{th}$$

$$\frac{1}{\tau_p} = \frac{c}{N_{eff}} \left( \alpha_{in} + \frac{1}{L} \ln \frac{1}{R} \right)$$



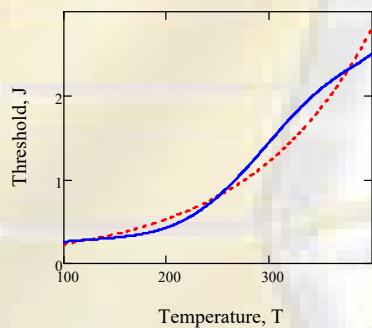
## Lasing Threshold



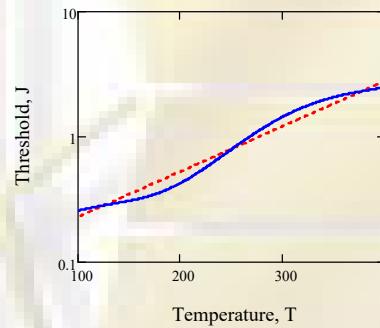
<http://britneyspears.ac/physics/fplasers/fplasers.htm>

## Threshold vs Temperature

$$J \sim \exp \frac{T}{T_0}$$



$$T_0 = f(T)$$



## Laser efficiency

$$J \rightarrow J\tilde{\eta}\eta_i \Rightarrow S = \tilde{\eta}\eta_i \Gamma \frac{\tau_p}{ed} (J - J_{th})$$

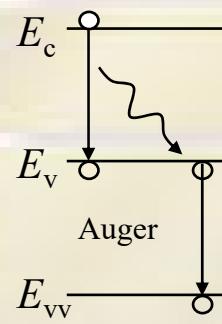
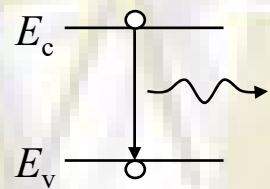
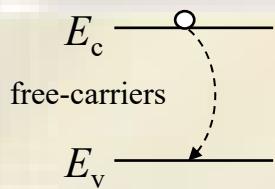
$$\tilde{\eta} = \frac{J_{active}}{J_{total}}$$

Pumping efficiency

$$\eta_i = \frac{\sum \text{photons}}{\sum \text{electrons}}$$

Internal quantum efficiency

$$\eta_i = \frac{Bn^2}{An + Bn^2 + Cn^3}$$



## Laser efficiency

$$S = \tilde{\eta} \eta_i \Gamma \frac{\tau_p}{ed} (J - J_{th})$$

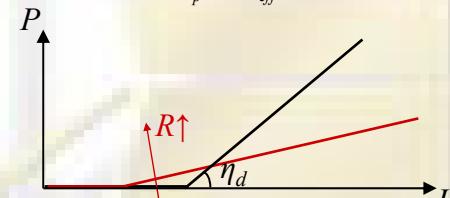
$$P^{(1/2)} = \frac{1}{2} S \hbar \omega \frac{c}{N_{eff}} \frac{V}{\Gamma} \frac{1}{L} \ln \frac{1}{R}$$

$$P^{(1/2)} = \frac{1}{2} \hbar \omega \frac{c}{N_{eff}} \frac{V}{\Gamma} \frac{1}{L} \ln \frac{1}{R} \tilde{\eta} \eta_i \Gamma \frac{\tau_p}{ed} (J - J_{th})$$

$$\frac{1}{\tau_p} = \frac{c}{N_{eff}} \left( \alpha_{in} + \frac{1}{L} \ln \frac{1}{R} \right)$$

$$I = JW L = J \frac{V}{d}$$

$$P^{(1/2)} = \frac{1}{2} \tilde{\eta} \eta_i \frac{\hbar \omega}{e} \frac{1}{\alpha_{in} + \frac{1}{L} \ln \frac{1}{R}} (I - I_{th})$$

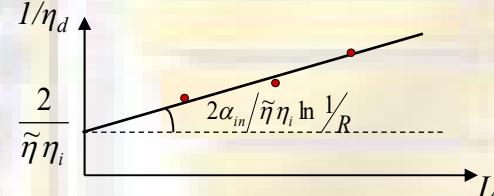


differential efficiency:  $\eta_d = \frac{P^{(1/2)}}{\hbar \omega (I - I_{th})} = \frac{1}{2} \tilde{\eta} \eta_i \frac{1}{\alpha_{in} + \frac{1}{L} \ln \frac{1}{R}} = \frac{1}{2} \frac{\tilde{\eta} \eta_i \alpha_{out}}{\alpha_{in} + \alpha_{out}}$

## Laser efficiency

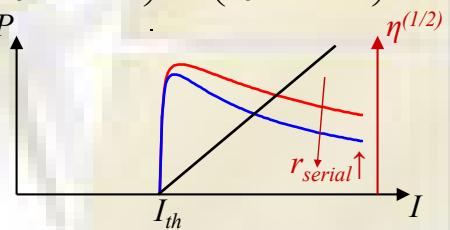
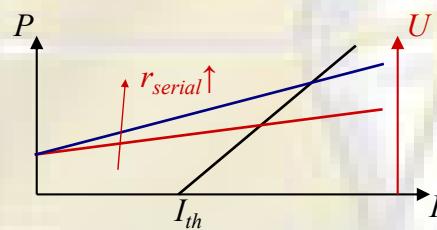
Measuring IQE:

$$\frac{1}{\eta_d} = \frac{2}{\tilde{\eta} \eta_i} \left( 1 + \frac{\alpha_{in} L}{\ln \frac{1}{R}} \right)$$



Lasing efficiency:

$$\eta^{(1/2)} = \frac{P^{(1/2)}}{I \left( \frac{\hbar \omega}{e} + I r_{serial} \right)} = \frac{\eta_d \frac{\hbar \omega}{e} (I - I_{th})}{I \left( \frac{\hbar \omega}{e} + I r_{serial} \right)}$$



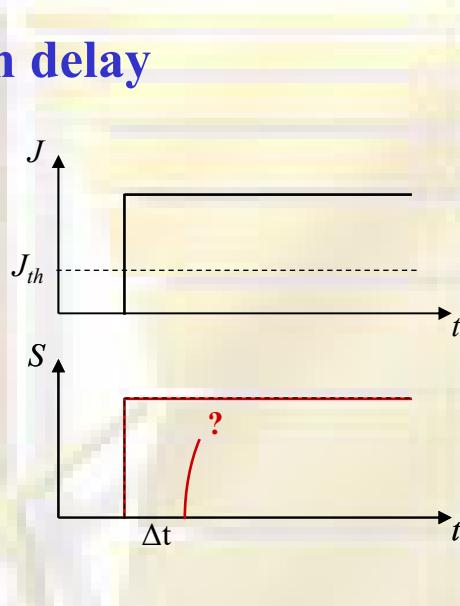
## Turn-on delay

$$\begin{cases} \frac{dS}{dt} = FA(n - n_t)S + F\beta \frac{n}{\tau_s} - \frac{S}{\tau_p} \\ \frac{dn}{dt} = \frac{J}{ed} - \frac{n}{\tau_s} - A(n - n_t)S \end{cases}$$

$$n(t) = \frac{J\tau_s}{ed} \left( 1 - e^{-t/\tau_s} \right)$$

$$n(\Delta t) = n_{th}$$

$$\Delta t = \tau_s \ln \left( \frac{J}{J - J_{th}} \right) \approx \tau_s \frac{J_{th}}{J}$$

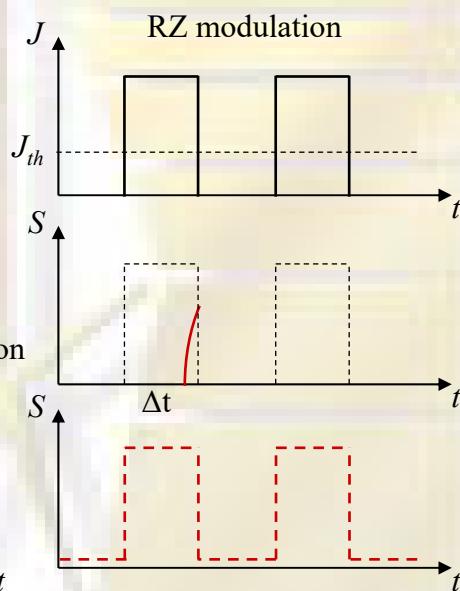
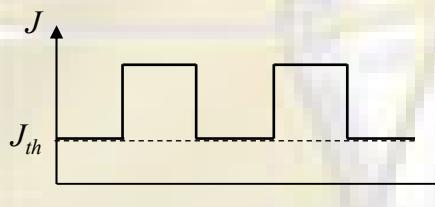


## Turn-on delay

$$\Delta t = \tau_s \ln \left( \frac{J}{J - J_{th}} \right) \approx \tau_s \frac{J_{th}}{J}$$

If  $J = 2J_{th}$ ,  $\tau_s = 1$  ns:  $\Delta t = 0.7$  ns

Non-return-to-zero (NRZ) modulation



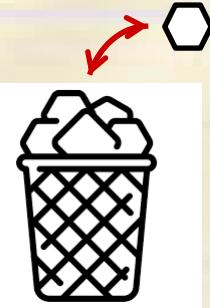
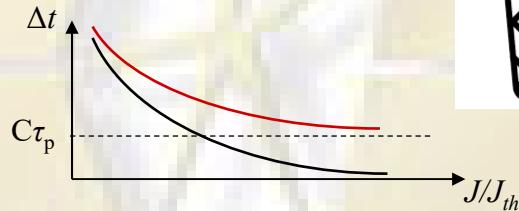
## Turn-on delay of QD LDs

Non-QD laser diode:

$$\Delta t = \tau_s \ln\left(\frac{J}{J - J_{th}}\right) \approx \tau_s \frac{J_{th}}{J}$$

QD LD:

$$\Delta t \approx \tau_s \frac{J_{th}}{J} + C\tau_p$$



## Small-signal modulation

$$\frac{dn}{dt} = \frac{J}{ed} - \frac{n}{\tau_s} - \frac{c}{N_{eff}} g(n) S$$

$$J(t) = J_0 + \delta J(t), \quad J_0 \gg \delta J(t)$$

$$\frac{dS}{dt} = \Gamma \frac{c}{N_{eff}} g(n) S - \frac{S}{\tau_p} + \Gamma \beta \frac{n}{\tau_s}$$

$$S(t) = S_0 + \delta S(t), \quad S_0 \gg \delta S(t)$$

$$n(t) = n_0 + \delta n(t), \quad n_0 \gg nJ(t)$$

$$\begin{cases} \Gamma \frac{c}{N_{eff}} g(n) S + \Gamma \beta \frac{n}{\tau_s} - \frac{S}{\tau_p} = 0 \\ \frac{J}{ed} - \frac{n}{\tau_s} - \frac{c}{N_{eff}} g(n) S = 0 \end{cases}$$

$$\dot{\delta n} = \frac{\delta J}{ed} - \frac{\delta n}{\tau_s} - \frac{c}{N_{eff}} (g_0 \delta S + g' S_0 \delta n)$$

$$\dot{\delta S} = \Gamma \frac{c}{N_{eff}} (g_0 \delta S + g' S_0 \delta n) - \frac{\delta S}{\tau_p} + \Gamma \beta \frac{\delta n}{\tau_s}$$

## Small-signal modulation

$$\begin{aligned}
 \delta \dot{n} &= \frac{\delta J}{ed} - \frac{\delta n}{\tau_s} - \frac{c}{N_{eff}} (g_0 \delta S + g' S_0 \delta n) & \delta J(t) &= j \exp(i\omega t) \\
 \delta \dot{S} &= \Gamma \frac{c}{N_{eff}} (g_0 \delta S + g' S_0 \delta n) - \frac{\delta S}{\tau_p} + \Gamma \beta \frac{\delta n}{\tau_s} & \delta n(t) &= a(\omega) \exp(i\omega t) \\
 && \delta S(t) &= b(\omega) \exp(i\omega t)
 \end{aligned}$$

$$\begin{aligned}
 & \left( i\omega + \frac{1}{\tau_s} + \frac{c}{N_{eff}} g' S_0 \right) a + \frac{c}{N_{eff}} g_0 b = \frac{j}{ed} \\
 & - \left( \Gamma \frac{c}{N_{eff}} g' S_0 + \Gamma \frac{\beta}{\tau_s} \right) a + \left( i\omega + \frac{1}{\tau_p} - \Gamma \frac{c}{N_{eff}} g_0 \right) b = 0
 \end{aligned}$$

$$\gamma = \frac{1}{\tau_s} + AS_0, \quad A \equiv \frac{c}{N_{eff}} g' \quad \Delta = \frac{1}{\tau_p} - \Gamma \frac{c}{N_{eff}} g_0 \quad \omega_0^2 = \frac{AS_0}{\tau_p}$$

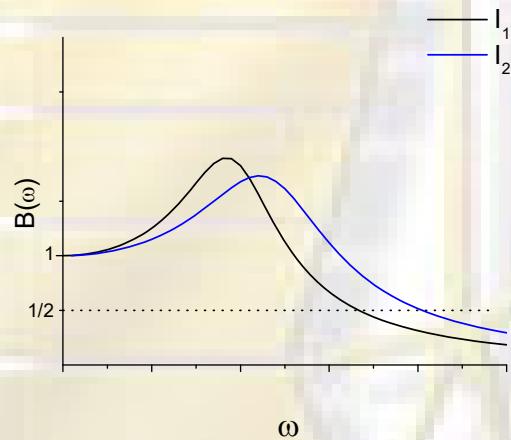
## Amplitude-freq. response of photons

$$\begin{aligned}
 & \left( i\omega + \frac{1}{\tau_s} + \frac{c}{N_{eff}} g' S_0 \right) a + \frac{c}{N_{eff}} g_0 b = \frac{j}{ed} \\
 & - \left( \Gamma \frac{c}{N_{eff}} g' S_0 + \Gamma \frac{\beta}{\tau_s} \right) a + \left( i\omega + \frac{1}{\tau_p} - \Gamma \frac{c}{N_{eff}} g_0 \right) b = 0
 \end{aligned}$$

$$b(\omega) \approx \frac{\Gamma j \tau_p}{ed} \frac{\omega_0^2}{\omega_0^2 - \omega^2 + \gamma i\omega} = \frac{j}{ed} B(\omega) \exp(i\phi_B(\omega))$$

$$\begin{aligned}
 B(\omega) &= \frac{\omega_0^2}{\sqrt{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2}} & \phi_B(\omega) &= \arctg(-\frac{\gamma\omega}{\omega_0^2 - \omega^2}) \\
 \gamma &= \frac{1}{\tau_s} + AS_0, \quad A \equiv \frac{c}{N_{eff}} g' & \Delta &= \frac{1}{\tau_p} - \Gamma \frac{c}{N_{eff}} g_0 \quad \omega_0^2 = \frac{AS_0}{\tau_p}
 \end{aligned}$$

## Amplitude-freq. response of photons

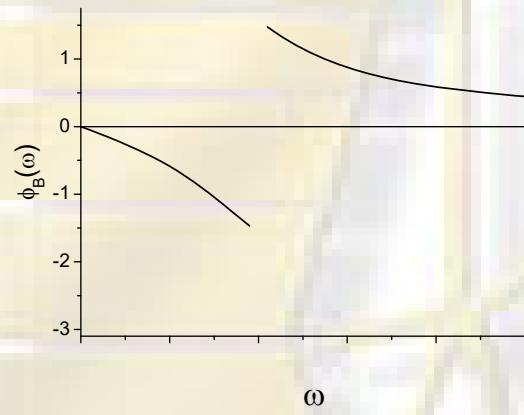


$$B(\omega) = \frac{\omega_0^2}{\sqrt{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2}}$$

$$\gamma = \frac{1}{\tau_s} + AS_0$$

$$\omega_0^2 = \frac{AS_0}{\tau_p}$$

## Phase-frequency response of photons



$$\phi_B(\omega) = \operatorname{arctg}\left(-\frac{\gamma\omega}{\omega_0^2 - \omega^2}\right)$$

$$\gamma = \frac{1}{\tau_s} + AS_0$$

$$\omega_0^2 = \frac{AS_0}{\tau_p}$$

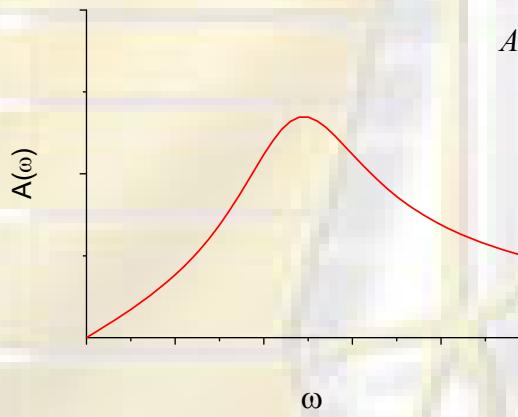
## Amplitude-freq. response of carriers

$$\begin{aligned} & \left( i\omega + \frac{1}{\tau_s} + \frac{c}{N_{eff}} g' S_0 \right) a + \frac{c}{N_{eff}} g_0 b = \frac{j}{ed} \\ & - \left( \Gamma \frac{c}{N_{eff}} g' S_0 + \Gamma \frac{\beta}{\tau_s} \right) a + \left( i\omega + \frac{1}{\tau_p} - \Gamma \frac{c}{N_{eff}} g_0 \right) b = 0 \end{aligned}$$

$$a(\omega) \approx \frac{j}{ed} \frac{-i\omega}{\omega_0^2 - \omega^2 + \gamma i\omega} = \frac{j}{ed} A(\omega) \exp(i\phi_A(\omega))$$

$$A(\omega) = \frac{\omega}{\sqrt{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2}} \quad \phi_A(\omega) = \operatorname{arctg}(-\frac{\gamma\omega}{\omega_0^2 - \omega^2}) - \frac{\pi}{2}$$

## Amplitude-freq. response of carriers

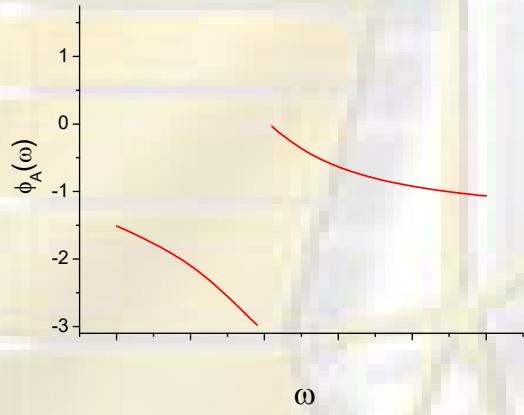


$$A(\omega) = \frac{\omega}{\sqrt{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2}}$$

$$\gamma = \frac{1}{\tau_s} + AS_0$$

$$\omega_0^2 = \frac{AS_0}{\tau_p}$$

## Phase-frequency response of carriers

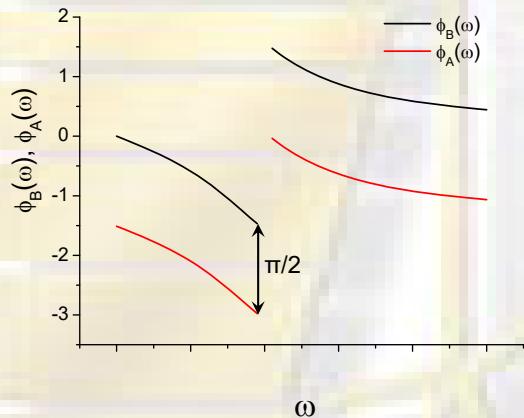


$$\phi_A(\omega) = \arctg\left(-\frac{\gamma\omega}{\omega_0^2 - \omega^2}\right) - \frac{\pi}{2}$$

$$\gamma = \frac{1}{\tau_s} + AS_0$$

$$\omega_0^2 = \frac{AS_0}{\tau_p}$$

## Phase-frequency response

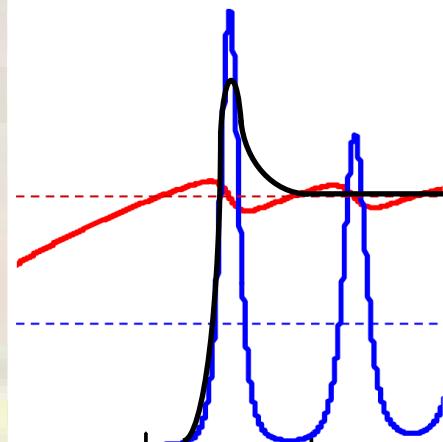
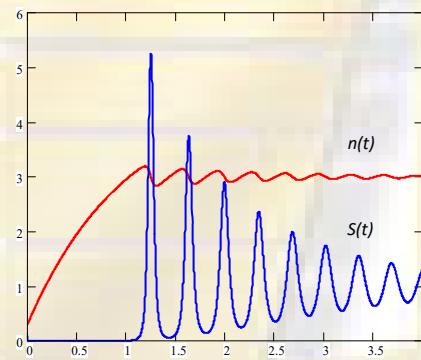


$$\phi_A(\omega) = \arctg\left(-\frac{\gamma\omega}{\omega_0^2 - \omega^2}\right) - \frac{\pi}{2}$$

$$\phi_B(\omega) = \arctg\left(-\frac{\gamma\omega}{\omega_0^2 - \omega^2}\right)$$

$\pi/2$  shift in the phase-frequency responses means the energy flow between the photons and carriers (similar to the kinetic and potential energy in pendulum) which is called 'relaxation oscillations'.

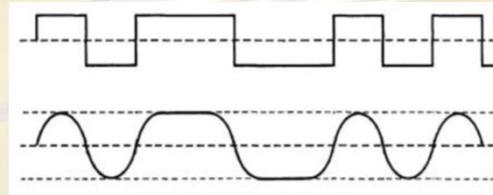
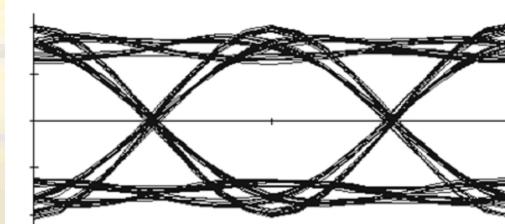
## Relaxation oscillations



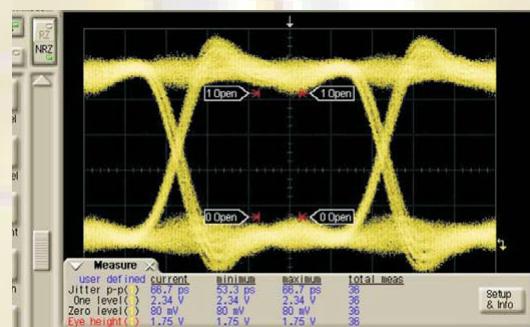
NB: no relaxation oscillations in QD lasers!

Typical explanations: 1) QD LDs are too fast; 2) QD LDs are too slow

## Eye-diagram

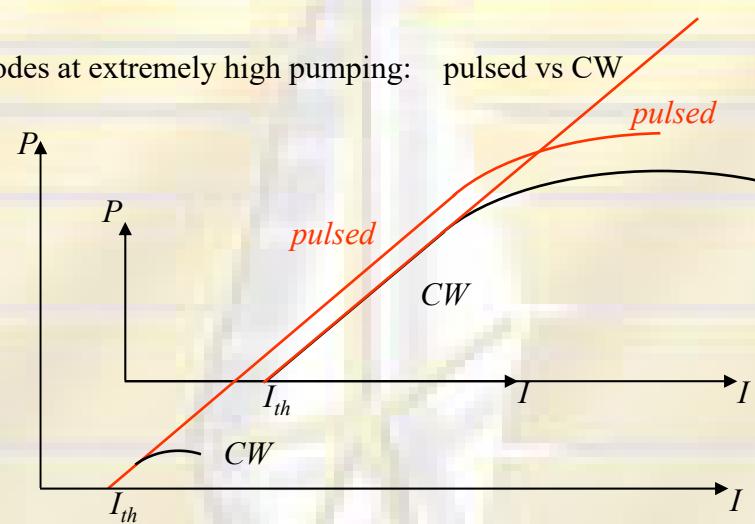


## Eye-diagram



## Gain clamping (gain saturation)

Laser diodes at extremely high pumping: pulsed vs CW

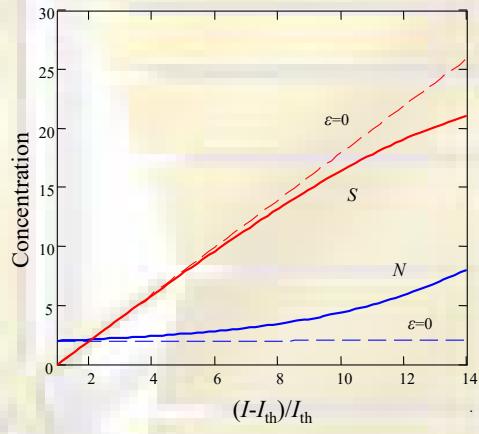


## Gain clamping

$$\begin{cases} \frac{dN}{dt} = \frac{J}{ed} - A(N - N_{tr})S(1 - \varepsilon S) - \frac{N}{\tau} \\ \frac{dS}{dt} = \Gamma A(N - N_{tr})S(1 - \varepsilon S) + \frac{\Gamma \beta N}{\tau} - \frac{S}{\tau_p} \end{cases}$$

Quasi-analytical:

$$\begin{cases} N = N_{th} + \frac{1}{\Gamma A \tau_p} \frac{\varepsilon S}{1 - \varepsilon S}, \quad N_{th} = N_{tr} + \frac{1}{\Gamma A \tau_p} \\ S = \frac{\Gamma \tau_p}{ed} \left( J - J_{th} - \frac{ed}{\Gamma A \tau \tau_p} \frac{\varepsilon S}{1 - \varepsilon S} \right), \quad J_{th} = \frac{N_{th} ed}{\tau} \end{cases}$$



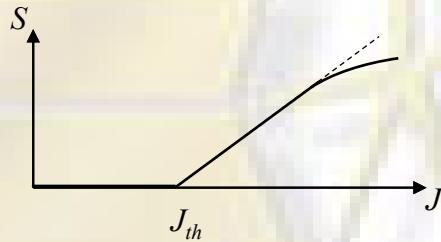
Asymptotical:

$$N = N_{th} + \frac{\tau}{ed} \frac{\varepsilon}{A\tau + \varepsilon} (J - J_{th}) + \frac{\Gamma A^2 \tau \tau_p}{A\tau + \varepsilon} \left[ \frac{\tau}{ed} \frac{\varepsilon}{A\tau + \varepsilon} (J - J_{th}) \right]^2$$

## Gain clamping

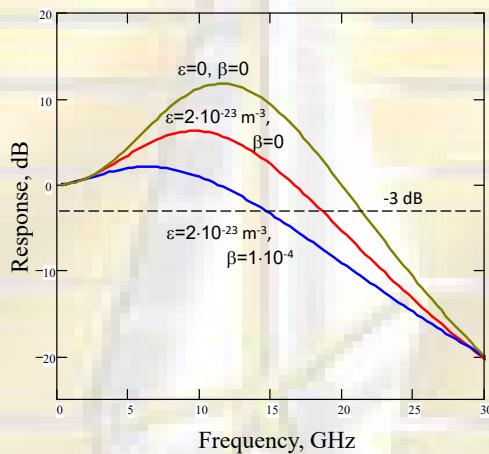
$$\frac{dn}{dt} = \frac{J}{e d} - \frac{n}{\tau_s} - \frac{c}{N_{eff}} g(n) S(1 - \varepsilon S)$$

$$\frac{dS}{dt} = \Gamma \frac{c}{N_{eff}} g(n) S(1 - \varepsilon S) - \frac{S}{\tau_p} + \Gamma \beta \frac{n}{\tau_s}$$



$$\omega_p \approx \sqrt{\frac{AS_0}{\tau_p} \left( 1 - \varepsilon S_0 \right)}$$

## Gain clamping



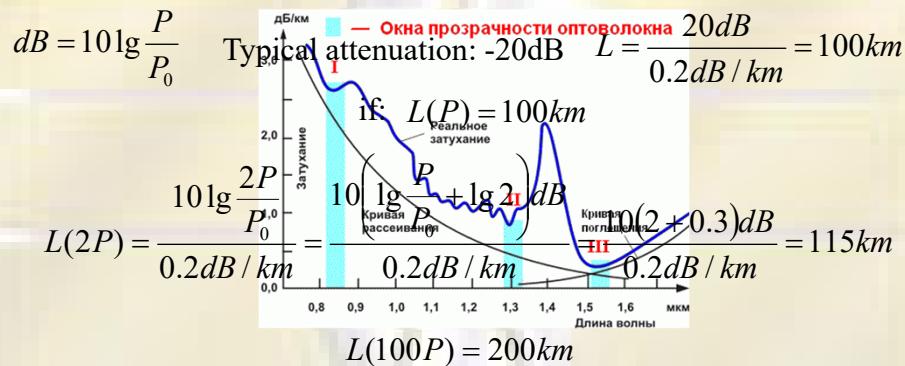
$$\omega_p \approx \sqrt{\frac{AS_0}{\tau_p} \left(1 - \epsilon S_0\right)}$$

## Application to the fiber optical communications

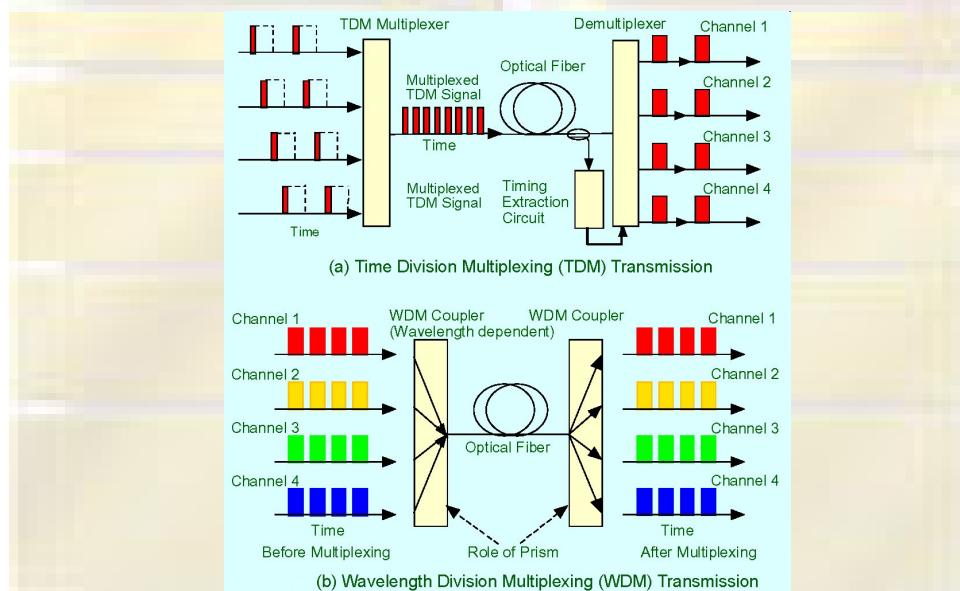


## Application to the fiber optical communications

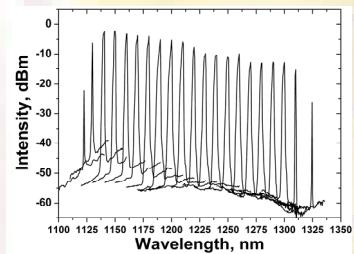
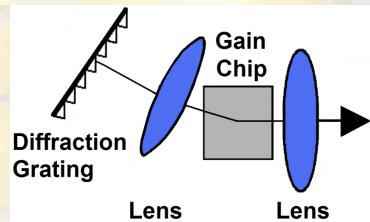
Double power: Double distance?



## Wavelength / Time Division Multiplexing

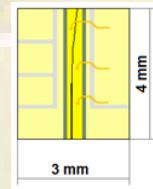


## Wavelength Selection

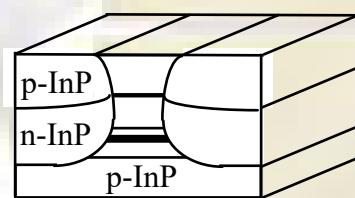
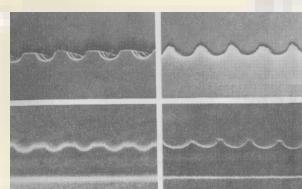
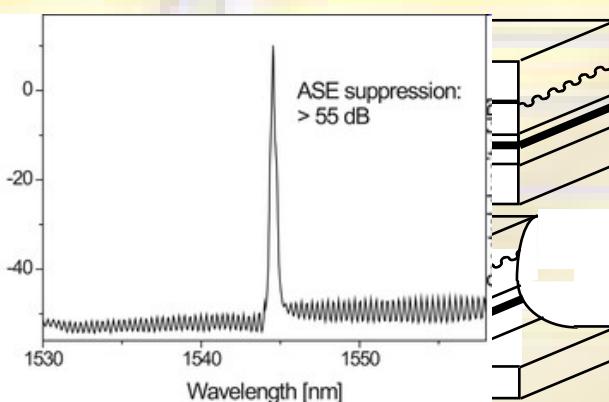
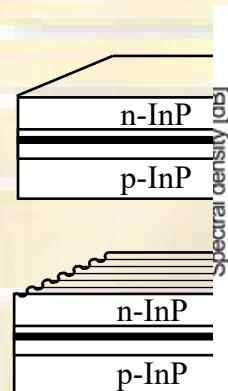


### Gain Chip:

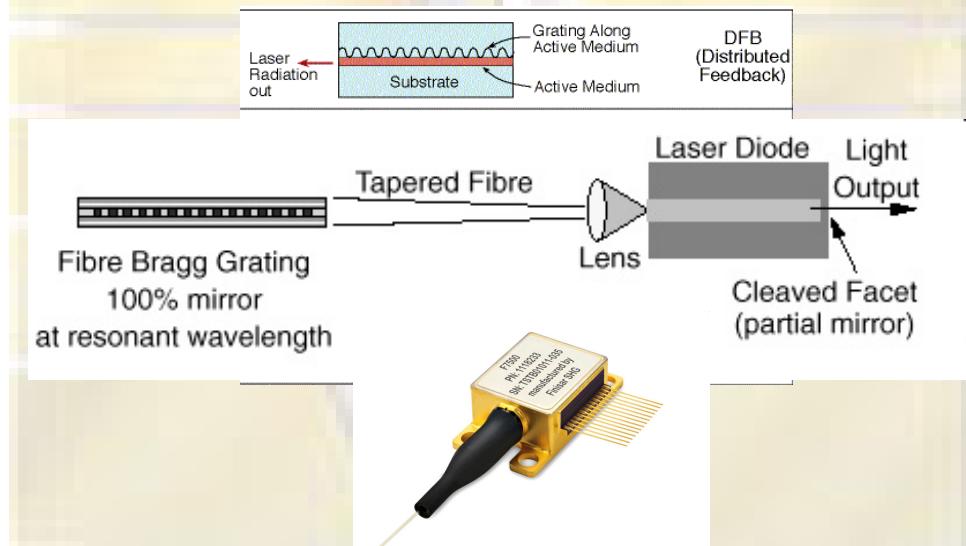
- 4mm length, 5 $\mu$ m wide waveguide
- 10 layers InAs QDs, grown on GaAs substrate
- waveguide angled at 5°
- facets AR coated: Rangled < 10<sup>-5</sup>  
Rfront  $\sim 2 \cdot 10^{-3}$



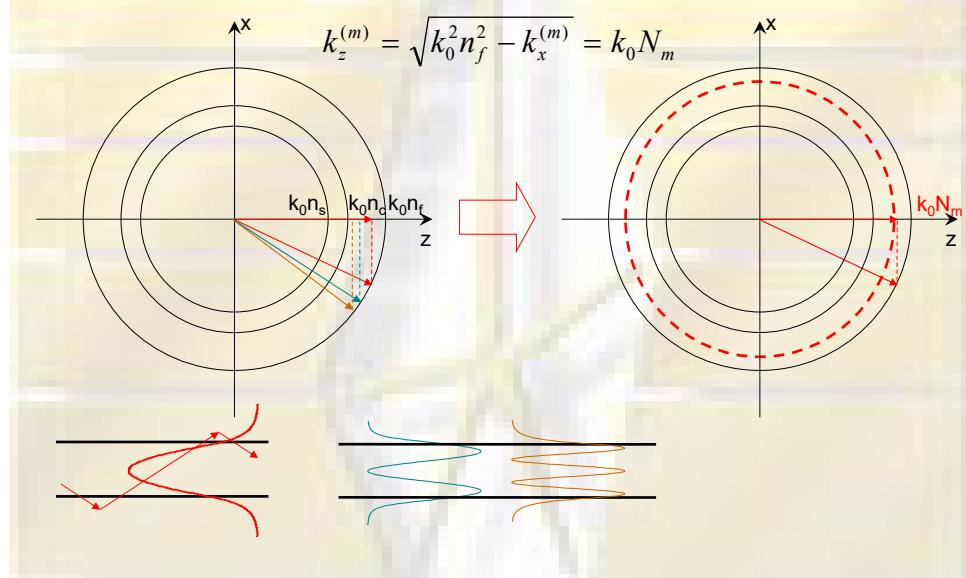
## Distributed feedback (DFB) laser diodes



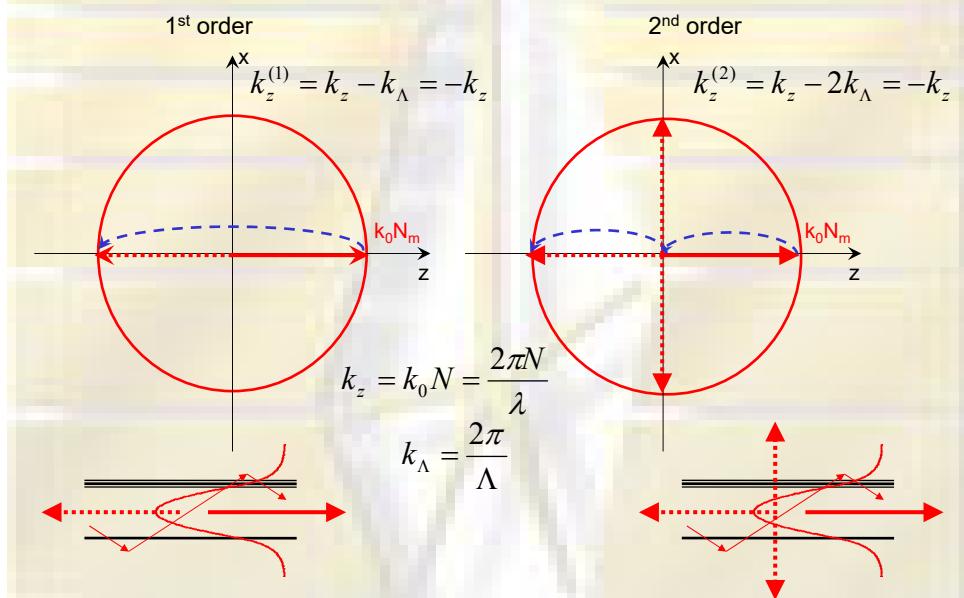
## Distributed Bragg reflector (DBR) LDs



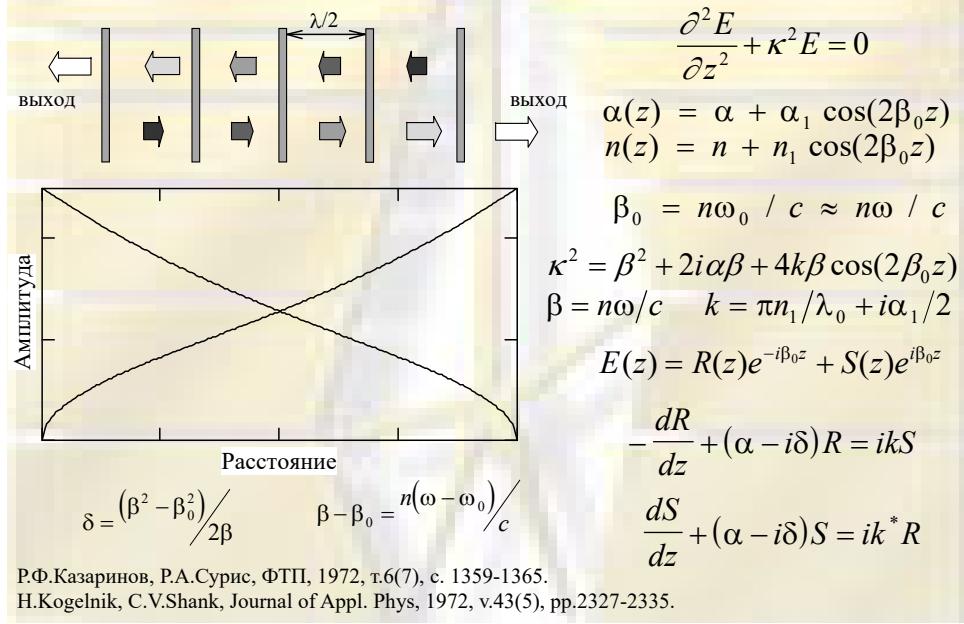
## Diffraction grating in a waveguide



## Diffraction grating in a waveguide



## Distributed feedback



## Distributed feedback

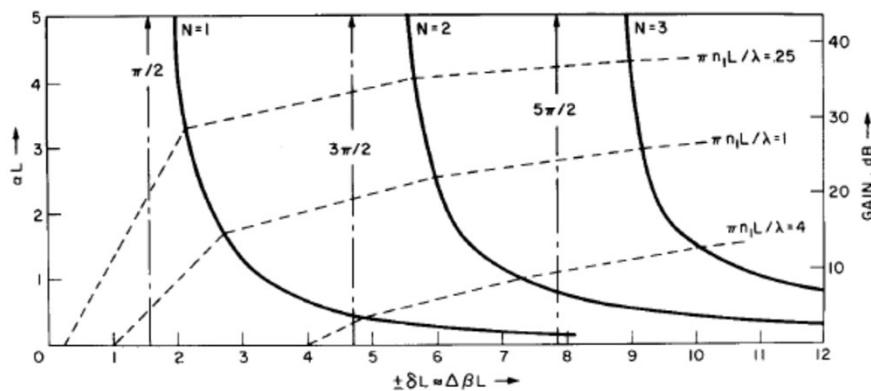
$$-\frac{dR}{dz} + (\alpha - i\delta)R = ikS$$

$$\delta = \frac{(\beta^2 - \beta_0^2)}{2\beta} \quad \beta - \beta_0 = \frac{n(\omega - \omega_0)}{c}$$

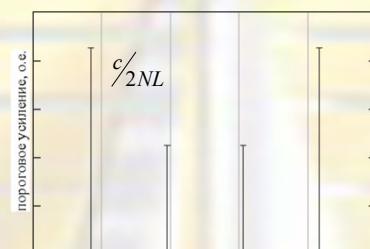
$$\frac{dS}{dz} + (\alpha - i\delta)S = ik^* R$$

$$k = \pi n_1 / \lambda_0 + i\alpha_1 / 2$$

MODE SPECTRUM FOR INDEX COUPLING



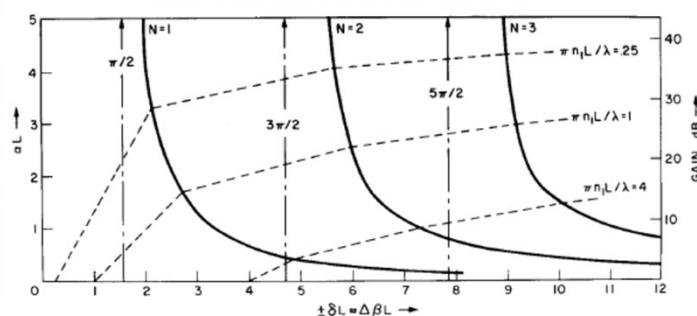
## Distributed feedback



$$\delta = \frac{(\beta^2 - \beta_0^2)}{2\beta}$$

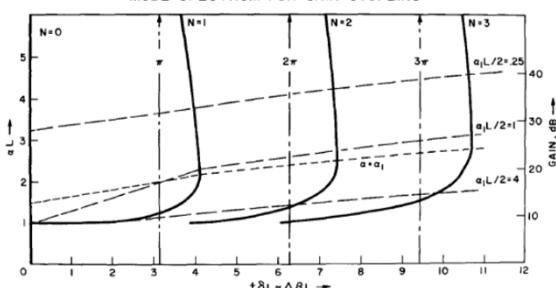
$$k = \pi n_1 / \lambda_0 + i\alpha_1 / 2$$

MODE SPECTRUM FOR INDEX COUPLING



## Distributed feedback

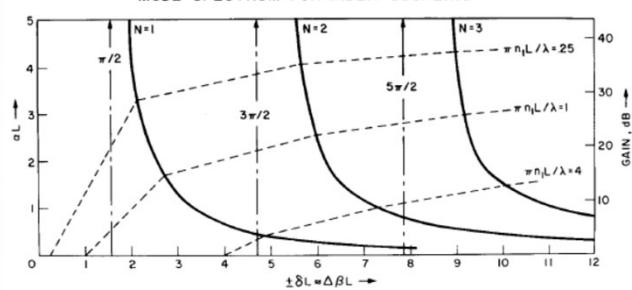
MODE SPECTRUM FOR GAIN COUPLING



Связь по усилию

$$k = \pi n_1 / \lambda_0 + i \alpha_1 / 2$$

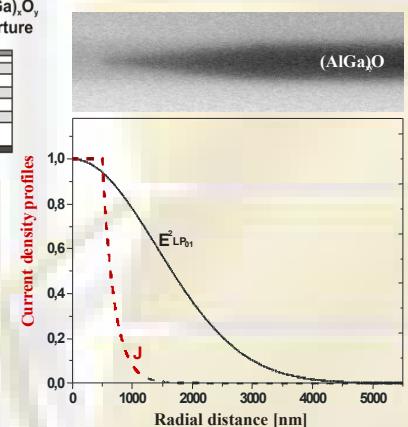
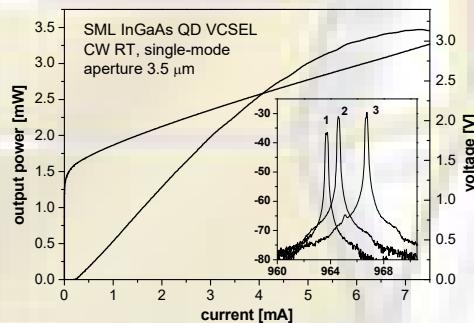
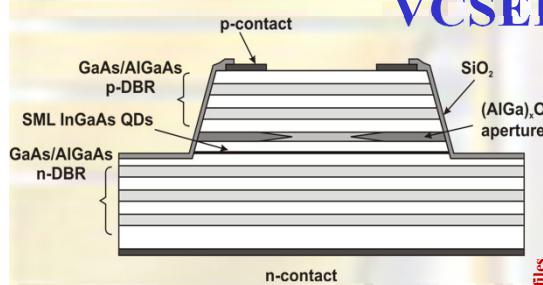
MODE SPECTRUM FOR INDEX COUPLING



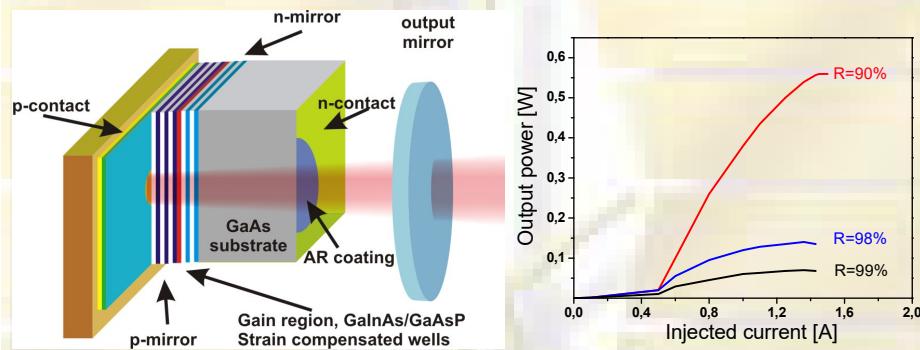
Связь по коэф.  
преломления

$$k = \pi n_1 / \lambda_0 + i \alpha_1 / 2$$

## Vertical-cavity surface-emitting lasers VCSELs



## Vertical-extended-cavity surface-emitting lasers (VECSELs)

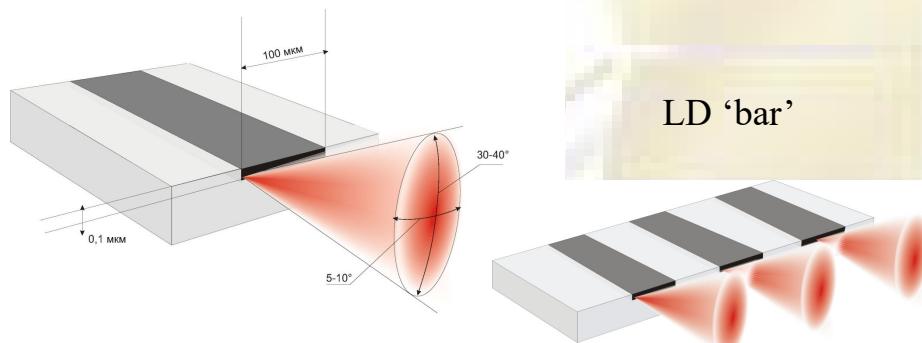


A.Mooradian, "High brightness cavity-controlled surface emitting GaInAs lasers operating at 980 nm", Proceedings of the Optical Fiber Communications Conference, 17-22 March 2001

## Problems of LDs

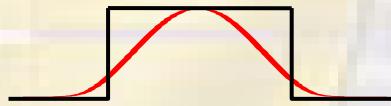
- Power
- Beam quality } & intensity gradient
- Spectral quality

Broad-stripe LD

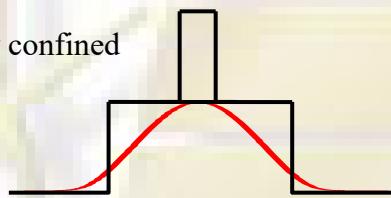


## Optical confinement

Double confined



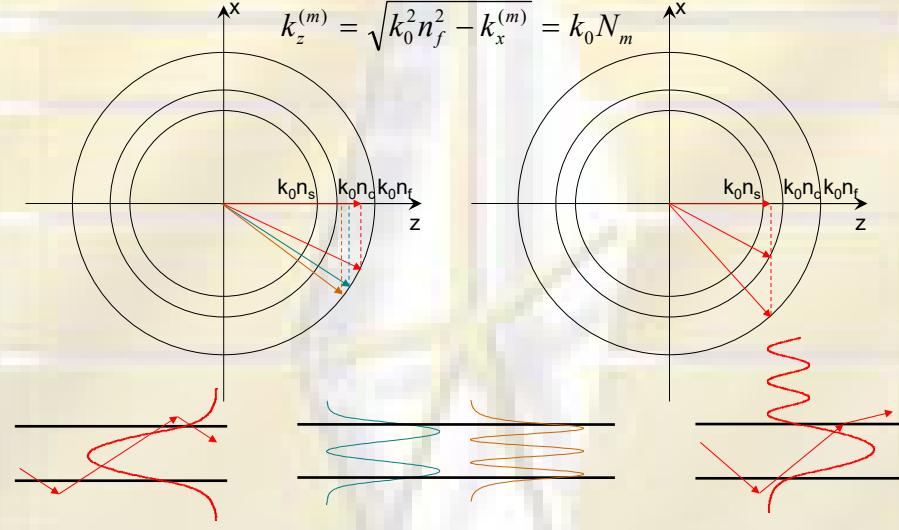
Separately confined



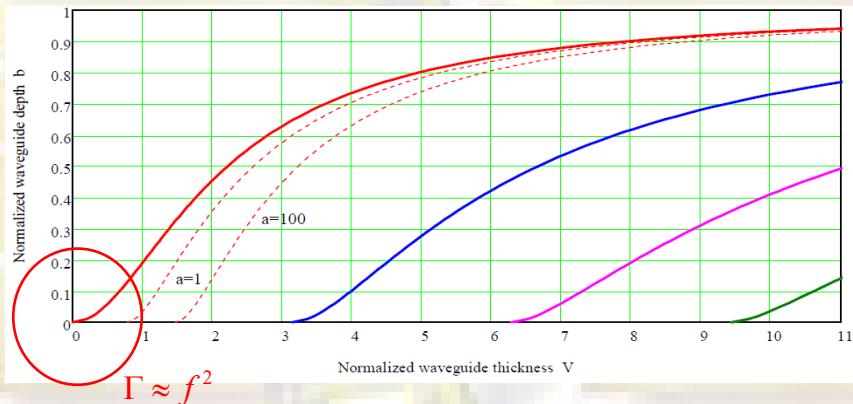
$$\Gamma = \frac{\int_0^f |E(x)|^2 dx}{\int_{-\infty}^{+\infty} |E(x)|^2 dx}$$

## Modes of the waveguide

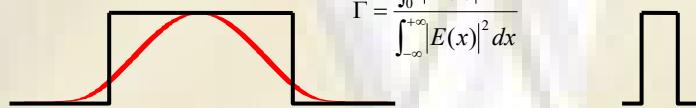
$$k_z^{(m)} = \sqrt{k_0^2 n_f^2 - k_x^{(m)}} = k_0 N_m$$



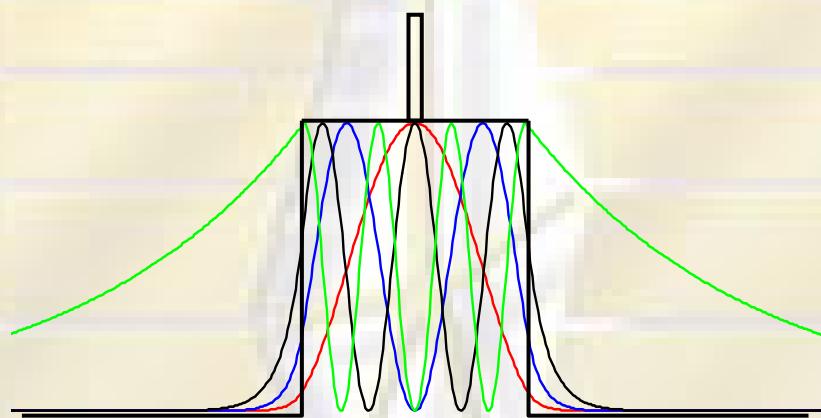
## Optical confinement



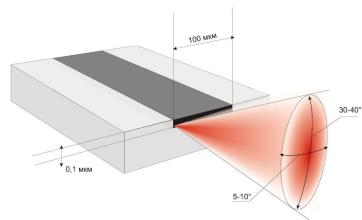
$$\Gamma = \frac{\int_0^f |E(x)|^2 dx}{\int_{-\infty}^{+\infty} |E(x)|^2 dx}$$



## Managing mode structure with optical confinement



## Power density and LD power

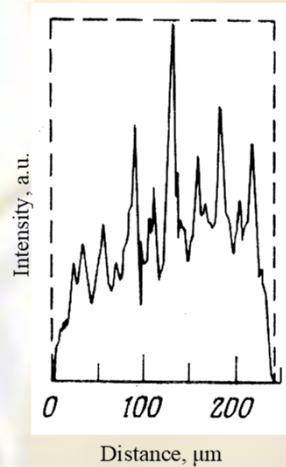


Higher power:

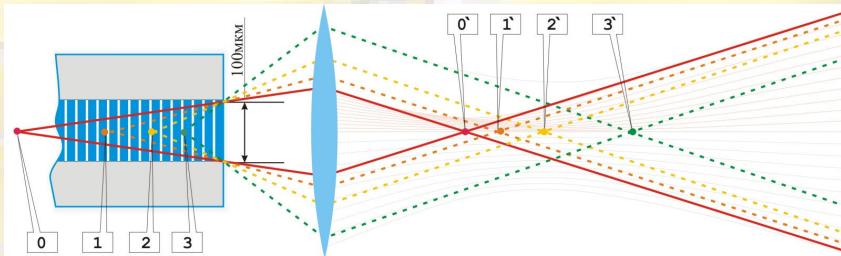
- Higher modes
- Spots (filaments)
- Astigmatism

Higher power does not mean higher power density... :(

'Not impossible' near-field distribution of the broad-stripe LD

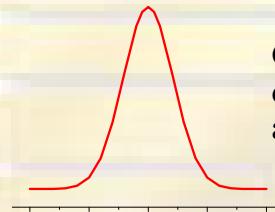


## Multimode LDs



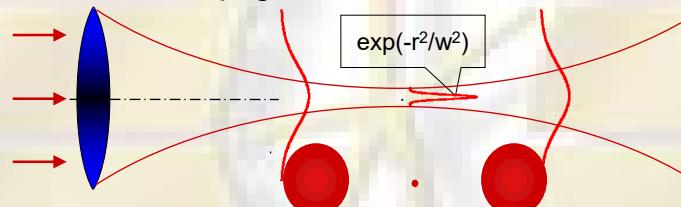
Multi-moded and 'spotted' (filamented) radiation is difficult (and sometimes impossible) to focus!

## Gaussian beams



Gaussian  $\exp(-r^2/w^2)$  is the most dense distribution. Therefore, Gaussian beams are the beams of the highest ‘quality’.

Propagation of the Gaussian beam



Even the ‘ideal’ power density is limited due to the quantum mechanical uncertainty principle  $\Delta p \Delta x = h$

## Gaussian beams

$$w_0 = \frac{\lambda}{\pi NA}$$

Beam waist size on the level of  $1/e^2$  (13.5%)

$$w(z) = w_0 \sqrt{1 + \left( \frac{\lambda z}{\pi w_0^2} \right)^2}$$

Propagating beam size (beam diameter)

$$R(z) = z \left[ 1 + \left( \frac{\pi w_0^2}{\lambda z} \right)^2 \right]$$

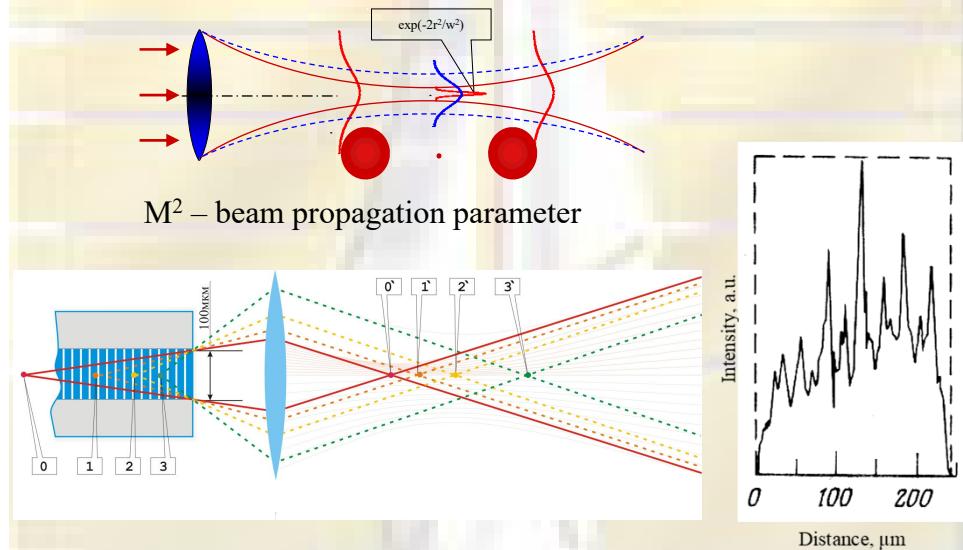
Wavefront curvature

$$z_R = \frac{\pi w_0^2}{\lambda}$$

Rayleigh range (distance of  $\sqrt{2}$ -fold increase of diameter of the beam)



## LD beams: From multi-mode to quasi-Gaussian



## Second moment properties

Definition of the second moment (or variance):

$$\sigma_x^2 = \frac{\int_{-\infty}^{\infty} (x - x_0)^2 I(x, y) dx dy}{\int_{-\infty}^{\infty} I(x, y) dx dy}$$

Applied to a zero-order Gaussian beam yields:

$$\sigma_x^2 = \frac{\int_{-\infty}^{\infty} x^2 \exp(-x^2/w_x^2) dx}{\int_{-\infty}^{\infty} \exp(-x^2/w_x^2) dx} = \frac{w_x^2}{2}$$

This leads to a parabolic propagation rule:

$$\sigma_x^2(z) = \sigma_{x0}^2 + \sigma_\theta^2(z - z_0)^2$$

$$w^2(z) = w_0^2 + \left( \frac{\lambda}{\pi w_0} \right)^2 (z - z_0)^2 = w_0^2 \left[ 1 + \left( \frac{z - z_0}{z_R} \right)^2 \right]$$

A.E.Siegman / Proc. SPIE 1868, 2 (1993)

## Second-moment-based beam width definition

Therefore for arbitrary real beams similar beam width definitions can be adopted:

$$w_x = \sqrt{2}\sigma_x$$

These second-moment-based beam widths will propagate exactly quadratically with distance in free space. For any arbitrary beam (coherent or incoherent), one can then write using the second-moment width definition

$$w_{x,y}^2(z) = w_{0x,y}^2 + M_{x,y}^4 \left( \frac{\lambda}{\pi w_{0x,y}} \right)^2 (z - z_0)^2$$

With  $M^2 \geq 1$  being ‘times diffraction-limited’ factor for an arbitrary real beam compared to the zeroth-order Gaussian beam

$$M^2 \equiv \frac{\pi w_0 w(z)}{z \lambda}$$

A.E.Siegman / Proc. SPIE 1868, 2 (1993)

## Basic properties of the $M^2$ parameter

$M^2$  is a ‘times-diffraction-limited’ parameter based on measured near and far field second-moment beam widths

$$M^2 = \frac{w_{\text{measured}}}{w_0} \quad M^2=1 \text{ for a zero-mode Gaussian beams}$$

Arbitrary real beam width can then be fully described by 6 parameters:

$$w_{0x}, w_{0y}, z_{0x}, z_{0y}, M_x^2, M_y^2$$

Requires time-averaged intensity measurements only.  
NO phase or wavefront measurements!

- Can develop such a universal propagation rule only for the second-moment beam width definition
- Actually holds true for propagation through arbitrary paraxial optical systems as well

A.E.Siegman / Proc. SPIE 1868, 2 (1993)

## Focusing of the quasi-Gaussian beams

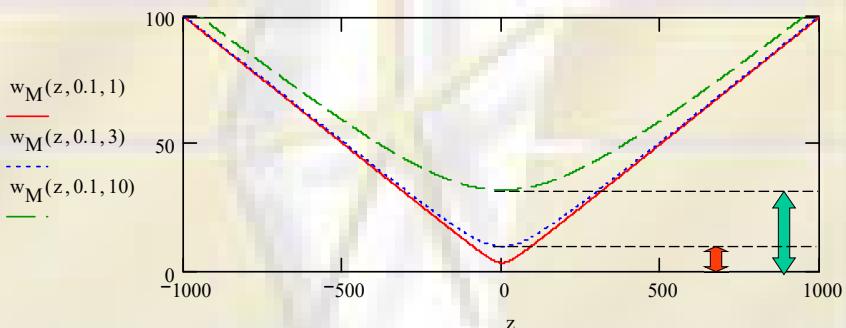
$$\lambda \rightarrow M^2 \lambda$$

$$w_{M^2} = \frac{M^2 \lambda}{\pi NA}$$

$$w(z) = w_{M^2} \sqrt{1 + \left( \frac{M^2 \lambda z}{\pi w_{M^2}^2} \right)^2}$$

Beam waist size on the level of  $1/e^2$  (13.5%)

Propagating beam size



## How to measure $M^2$ parameter?

Measurable: beam diameter as a function of propagation length:

$$w^2(z) = w_{M^2}^2 + NA^2(z - z_0)^2 = w_{M^2}^2 + z_0^2 NA^2 - 2z_0 NA^2 z + z^2 NA^2 = A + B_1 z + B_2 z^2$$

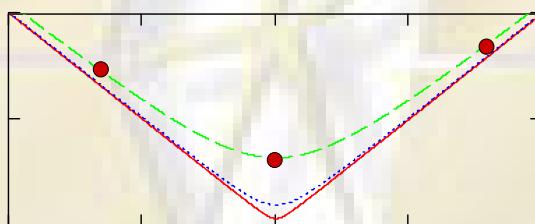
$$A = w_{M^2}^2 + z_0^2 NA^2$$

$$B_1 = -2z_0 NA^2 \quad \text{with} \quad w_{M^2} = \frac{M^2 \lambda}{\pi NA} \quad \text{follows:} \quad z_0 = -\frac{B_1}{2B_2}$$

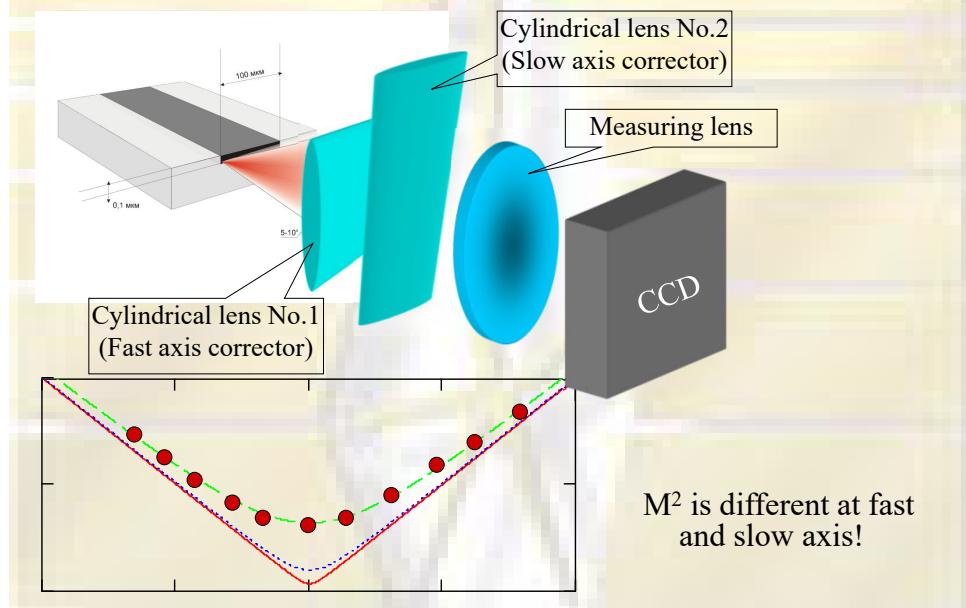
$$B_2 = NA^2$$

$$NA = \sqrt{B_2}$$

$$M^2 = \frac{\pi}{\lambda} \sqrt{AB_2 - \frac{B_1^2}{4}}$$



## How to measure M<sup>2</sup> parameter?



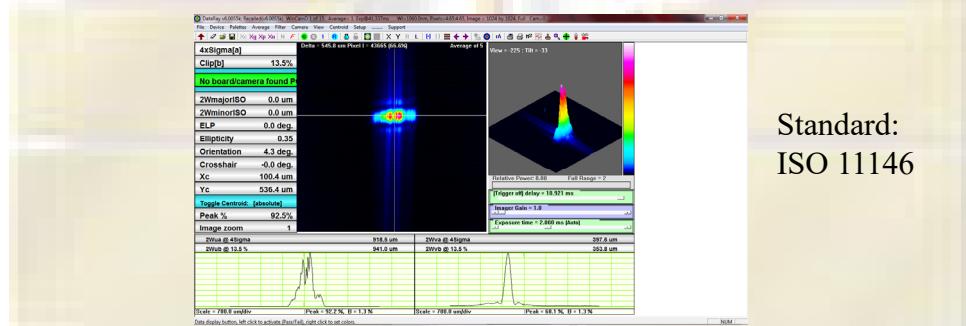
## How to measure M<sup>2</sup> in your lab?

1. Use house-built setup with any CAL or CAD software (e.g. MicroCal Origin)

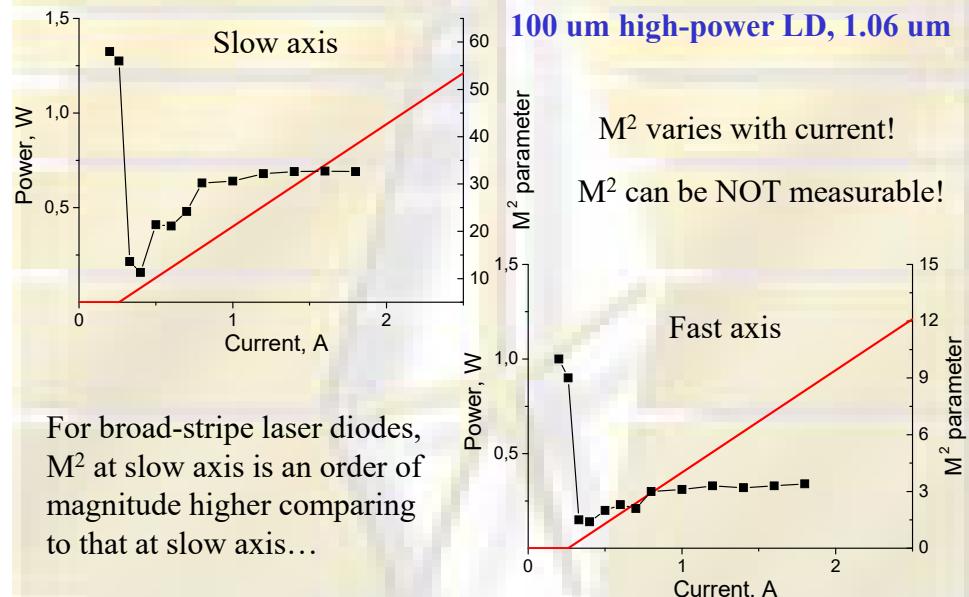
Approx. time for one laser: 3 hrs (when you've got some experience)

2. Use specialist hard- and soft-ware (e.g. DataRay)

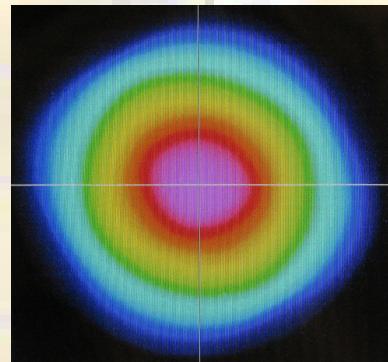
Approx. time for one laser: 15 mins



## Measuring $M^2$ parameter

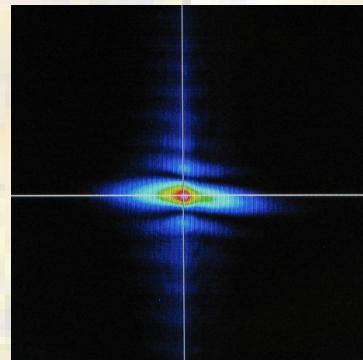


Don't call it 'Gaussian'  
before you are sure...



Light emitting diode Lumiled, 0.63  $\mu\text{m}$  350 mA,  $M^2=500$

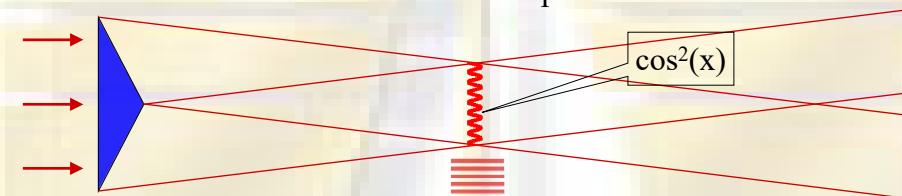
## Don't overestimate the $M^2$ parameter of the 'nasty' LD beams...



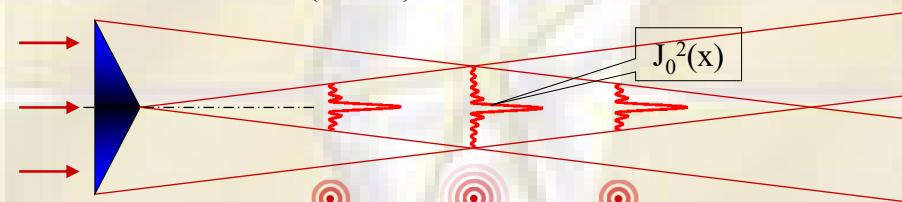
Narrow stripe LD, 1.06 um, 100 mA,  $M^2=4$

## Interference focusing (Generation of Bessel beams)

Prism: interference of plane waves



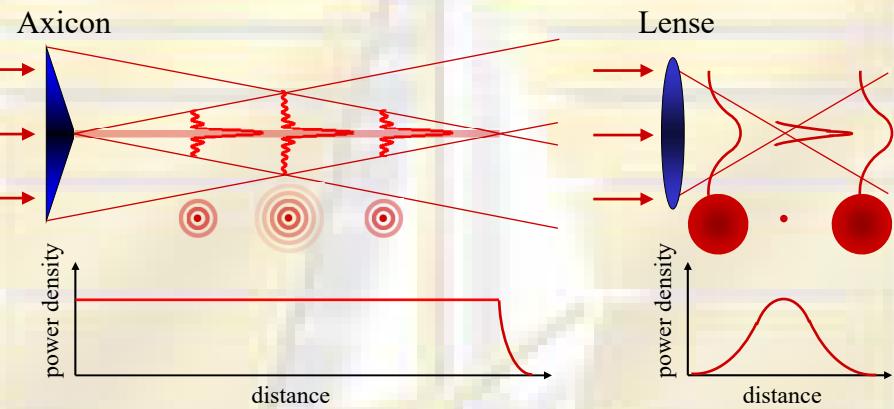
Conical lens (axicon): interference of conical waves



J. Durnin // J. Opt. Soc. Am. 1987, A 4, P. 651-654

B.Ya.Zel'dovich, N.F.Pilipetskii // Izvestia VUZov, Radiophysics, 1966, 9(1), P.95-101

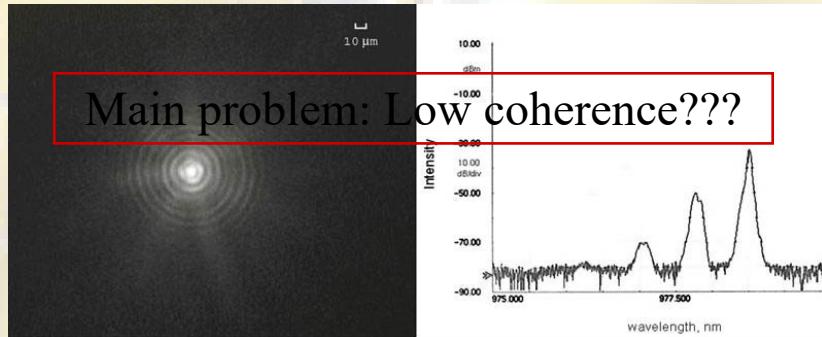
## Bessel beams vs Gaussian beams



Bessel beams are ideal for optical tweezers,  
micromanipulation and lab-on-a-chip applications

**Bessel beams are typically generated with vibronic lasers**

## Interference focusing with Laser Diodes



VCSEL DO-701d, Innolume GmbH, axicon 170°, I=1 mA

G.S.Sokolovskii et al. // Tech Phys Lett, 2008, 34(24) 75-82

## Interference focusing with High-power Laser Diodes

Main problem: Poor beam quality

Multimode



Filamented

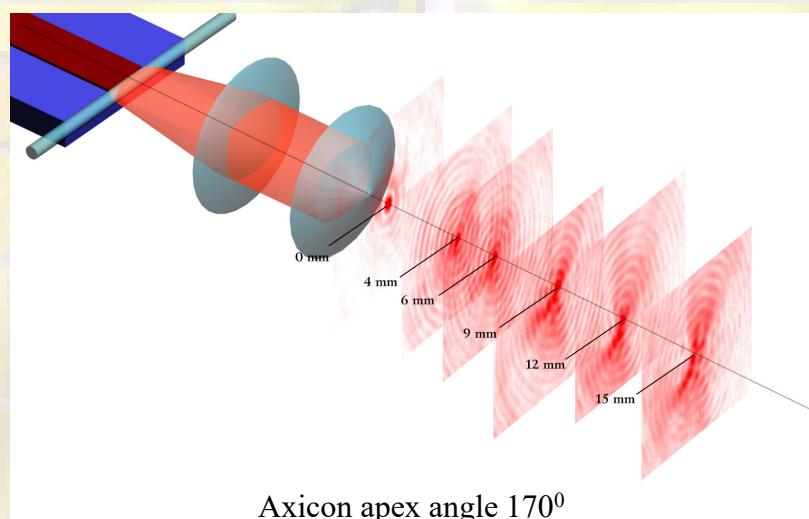


Oblique



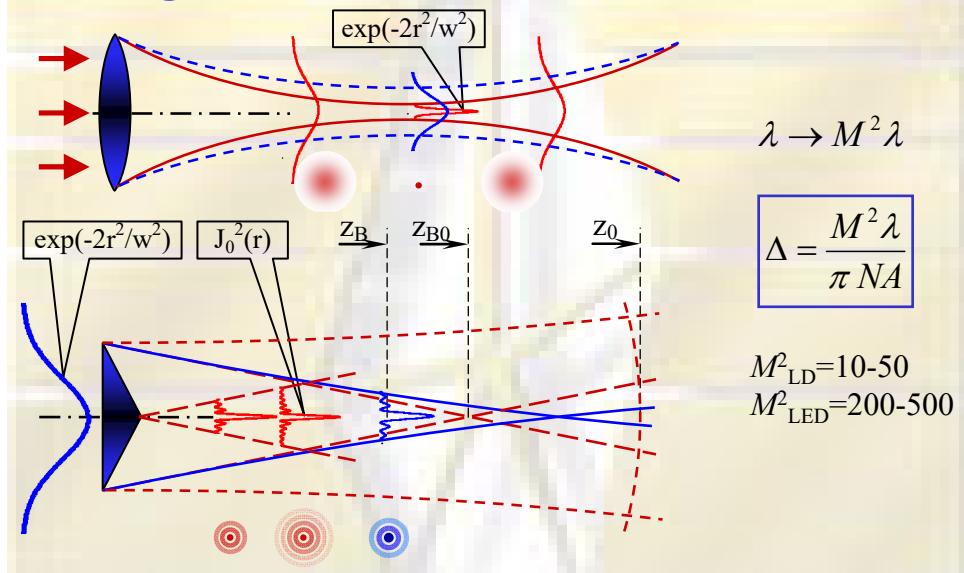
Radiation wavelength  $\lambda = 1.06 \mu\text{m}$ . Axicon apex angle  $170^\circ$ . Central lobe size  $d_0 = 10 \mu\text{m}$ .

## Bessel beams from the broad-stripe LD

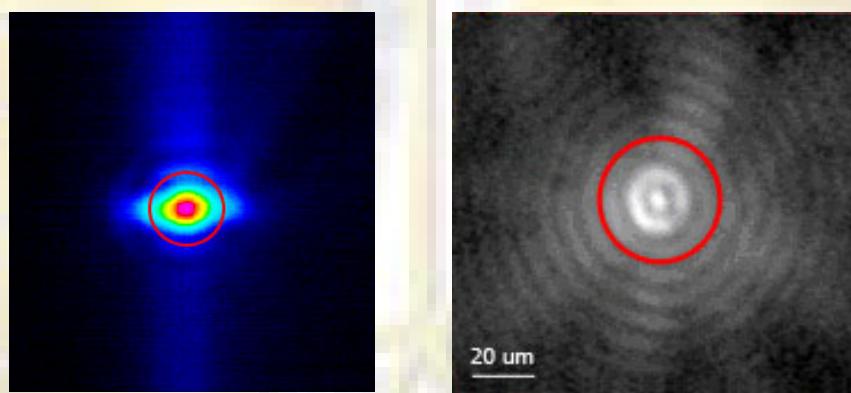


G.S.Sokolovskii et al. // Tech Phys Lett, 2010, 36(1) 22-30

## Propagation length of Bessel beams generated from Laser Diodes



## Achieving ‘non-achievable’ intensity gradients with broad-stripe LDs

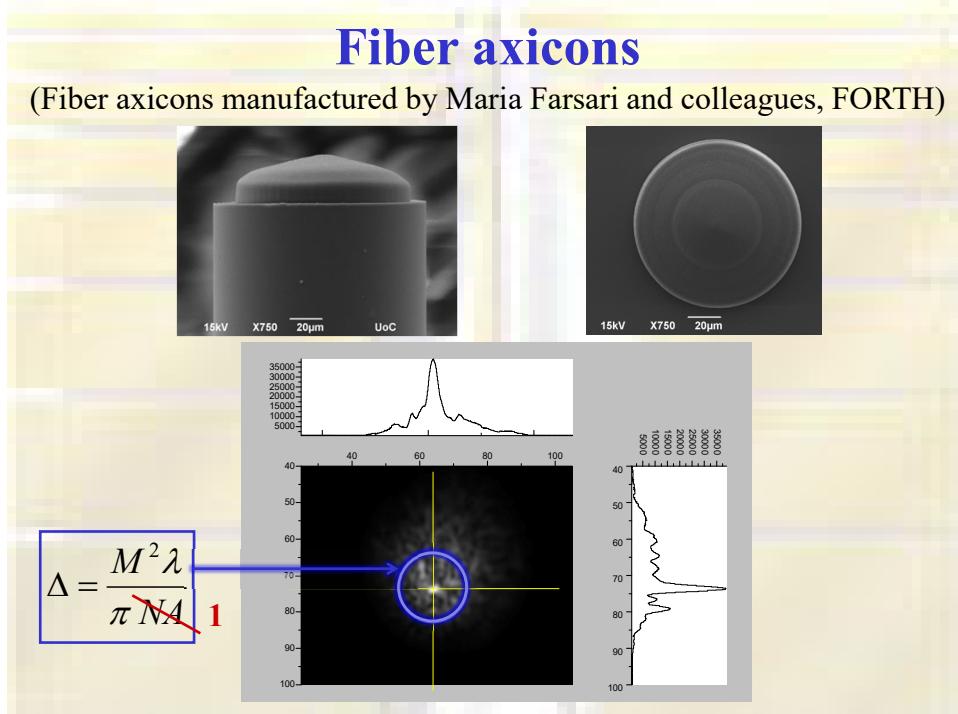


Broad-stripe LD 1.06  $\mu\text{m}$ ,  $M^2=22$ ,  
interference focal spot dia = 4  $\mu\text{m}$

LED 0.63  $\mu\text{m}$ ,  $M^2=200$ ,  
interference focal spot dia = 6  $\mu\text{m}$

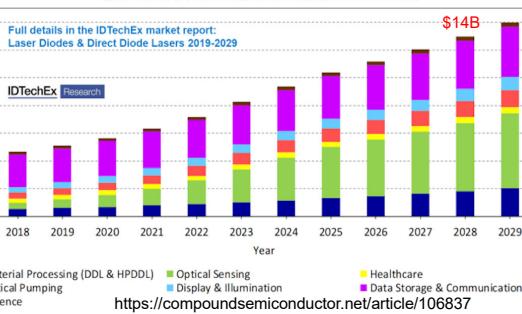
## Fiber axicons

(Fiber axicons manufactured by Maria Farsari and colleagues, FORTH)

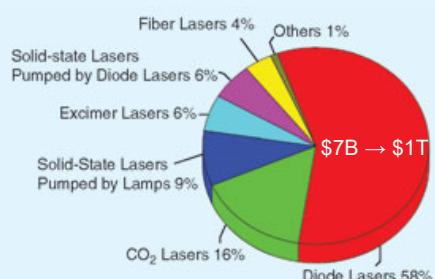


## Applications of LDs

Laser Diodes & Direct Diode Lasers: Global Market Forecast



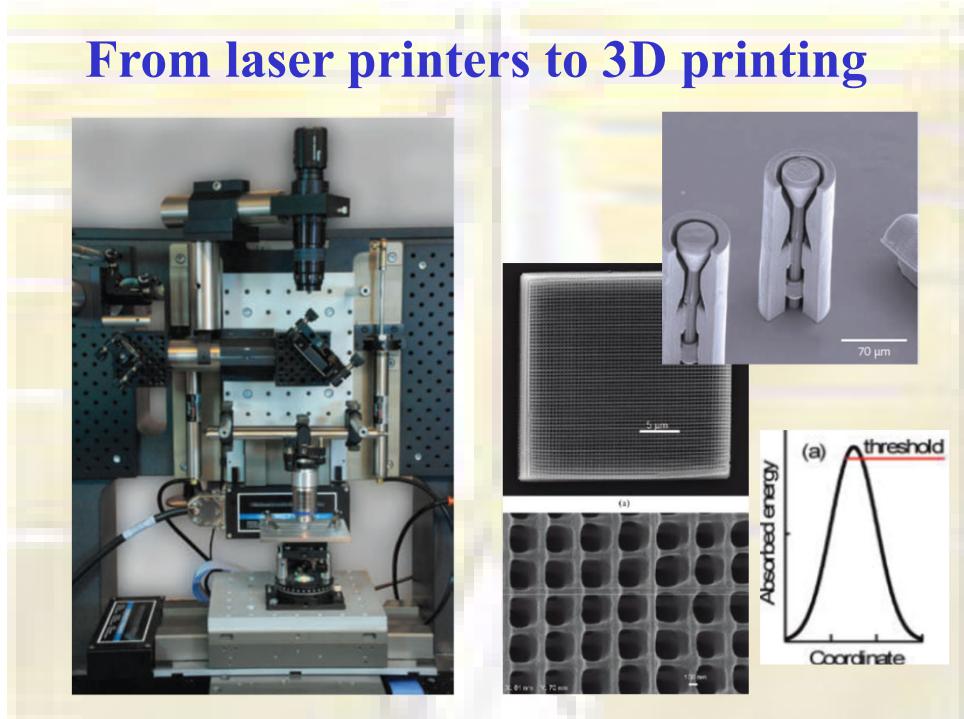
- Telecoms
- Data reading/recording
- Laser printing
- Pumping of the solid-state lasers
- ‘Direct’ applications: cutting
- Biology and medicine



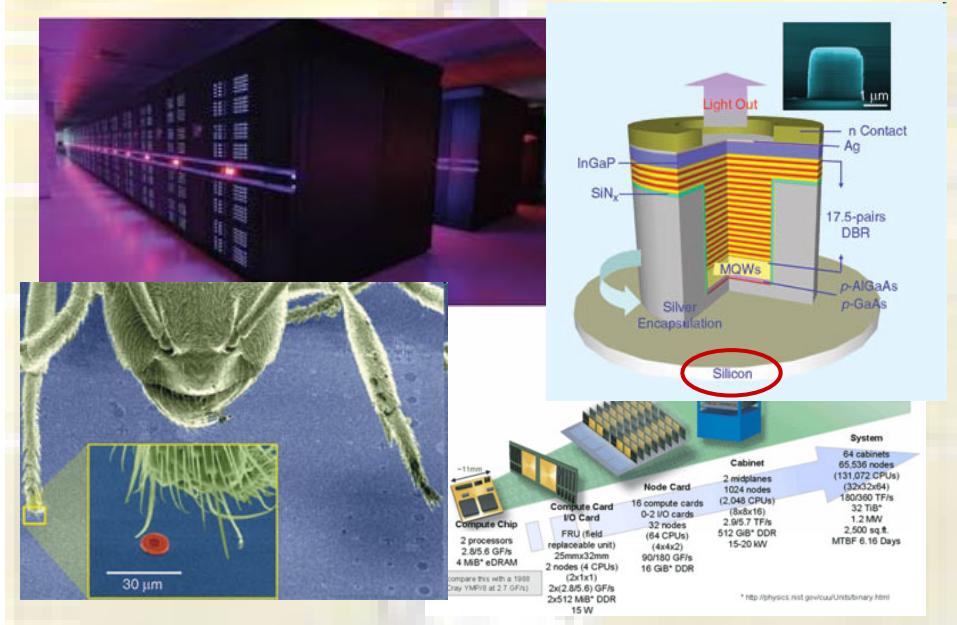
## Laser projectors: Green Light?



## From laser printers to 3D printing



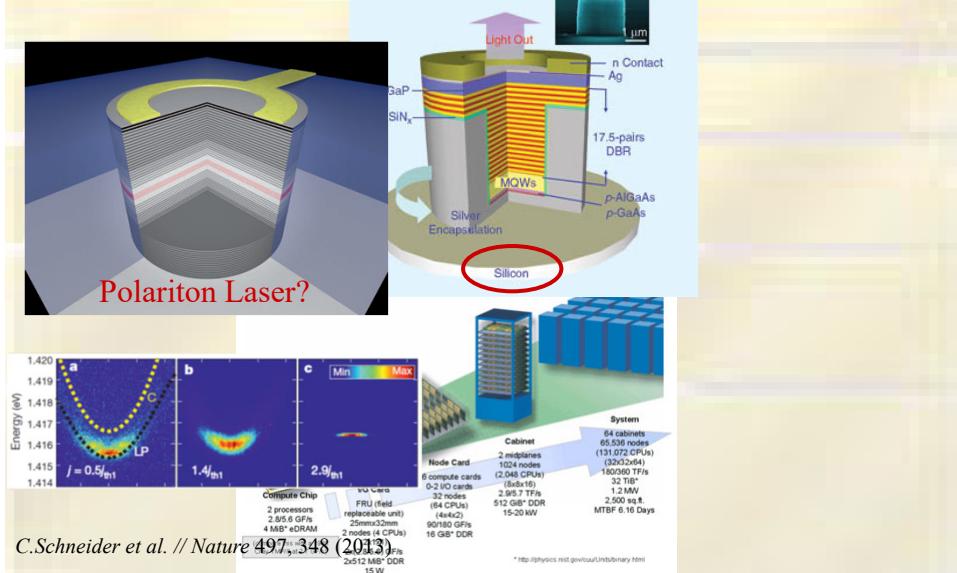
## Telecoms/Datacoms: Silicon Photonics?



## On-chip Datacoms

What counts? fJ/bit!

Energy matters!



## Automotive applications: laser ignition?



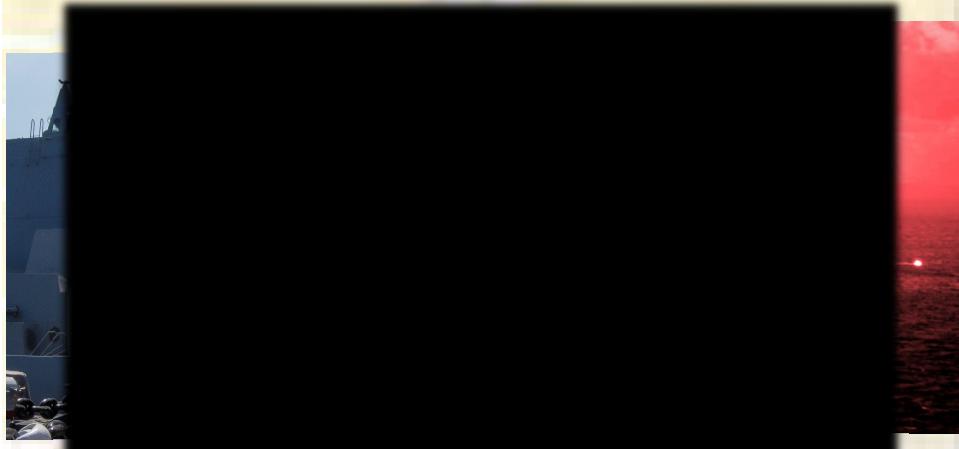
## US Army first laser weapon

Offers lethality against unmanned aircraft systems (drones) and rockets, artillery and mortars



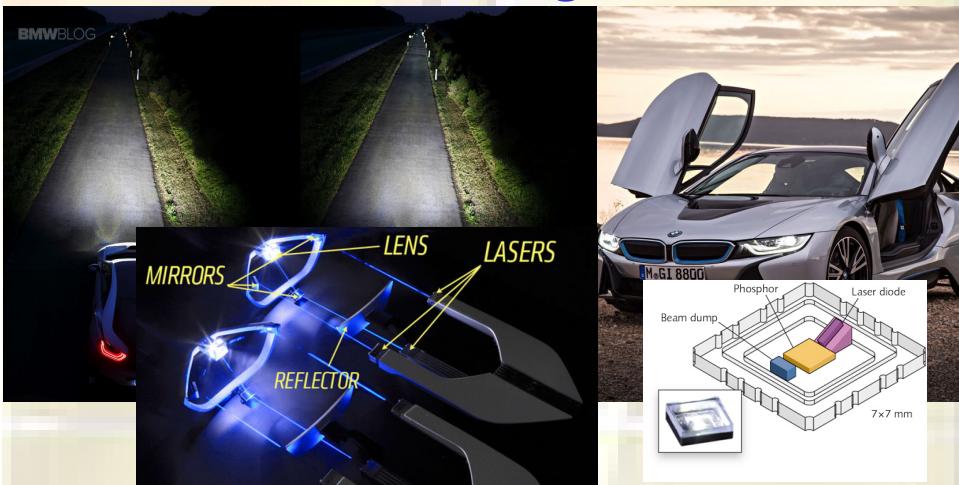
Citadel Defense has been awarded a sole source contract for \$6M from US DoD to build and deploy an AI-powered counter drone solution. The system will be deployed at “sensitive government locations” and operated by non-specialist military personnel and first responders.

## USS Portland tests high-energy laser



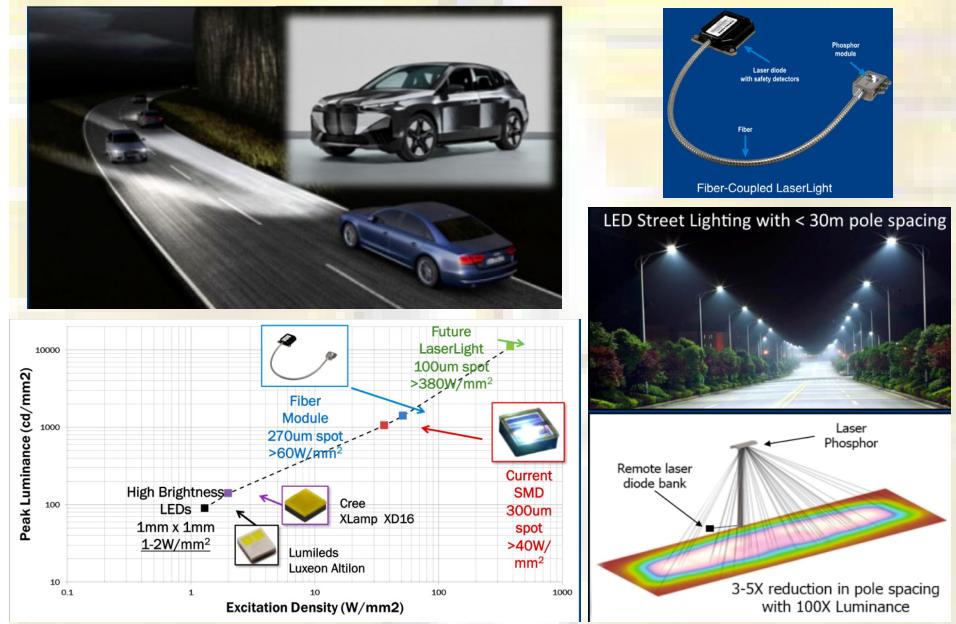
Solid State Laser aboard USS Portland successfully engages a static surface training target. Portland previously tested the LWSD in May 2020 when it successfully disabled a small unmanned aerial system while operating in the Pacific Ocean.

## Automotive applications: laser headlights?

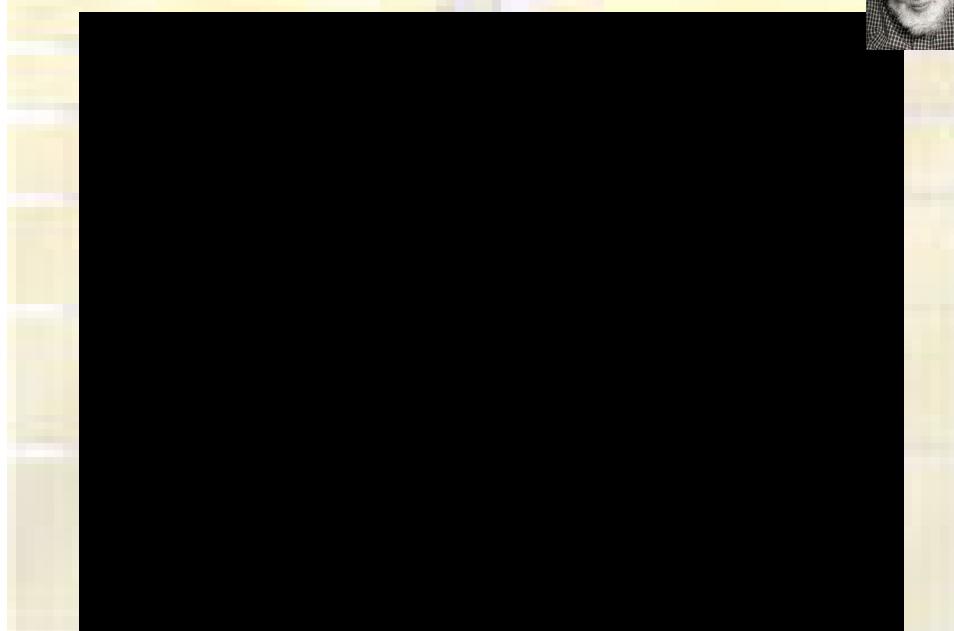


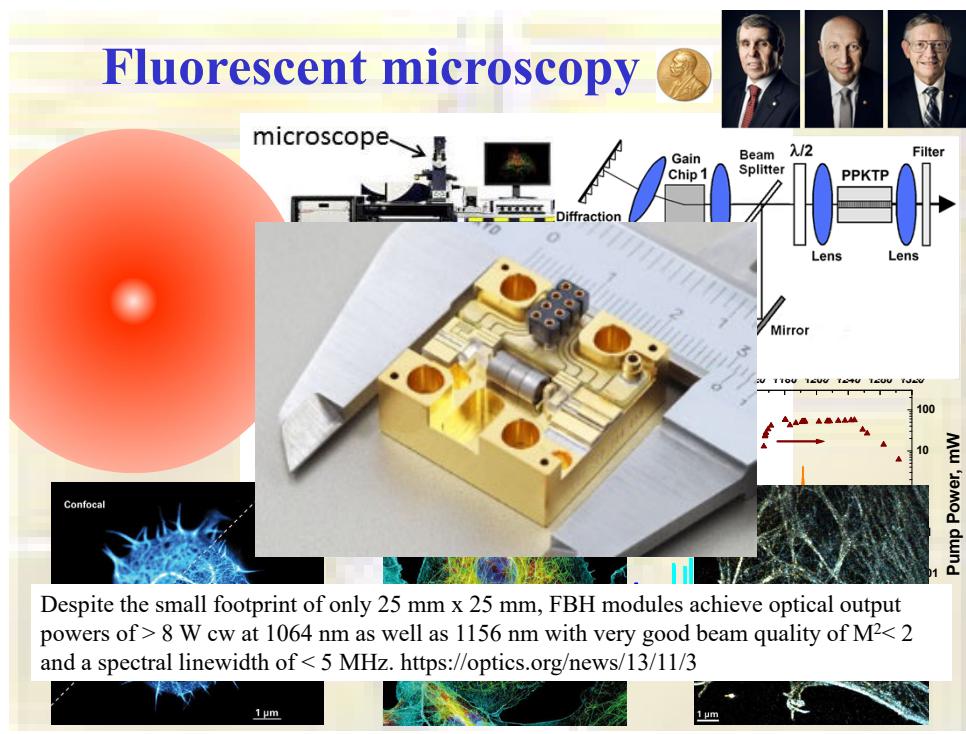
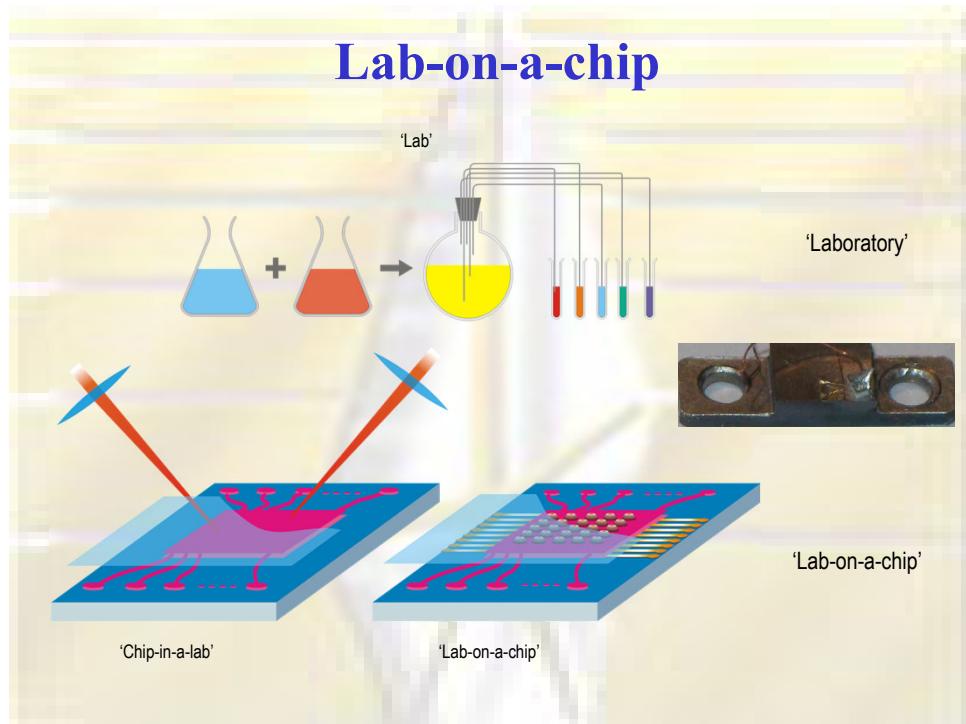
[www.bmw.com](http://www.bmw.com) 'In the laser headlight, the beams of light are bundled together to attain a luminous intensity that is ten times greater than conventional light sources such as halogen, xenon or LED. BMW Laserlight has a visual range of up to 600 metres, twice that of a headlight with conventional light technology.'

## Laser lighting?

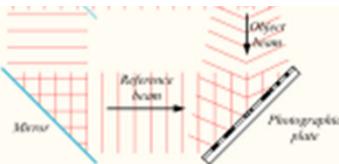
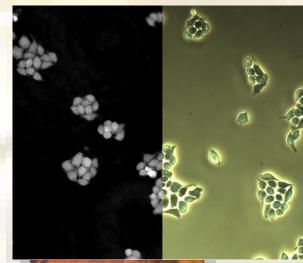
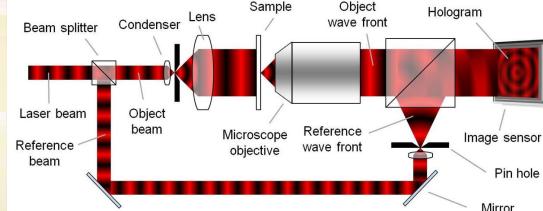


## Optical tweezers: lab-on-a-chip?





## Lensless microscopy



## Generation of Heat-Shock Proteins and Ceramides with Laser Diodes

Extracellular heat shock proteins (Hsp70) and ceramides are known to possess high adjuvant activity for cancer vaccines and low-immunogenic vaccines against dangerous infections. Hsp70 and ceramide can activate receptors of the innate immunity (TLR4) and boost protective immune response reactions against abiotic stress factors and biopathogens.



## Quantum time standards and gyroscopes

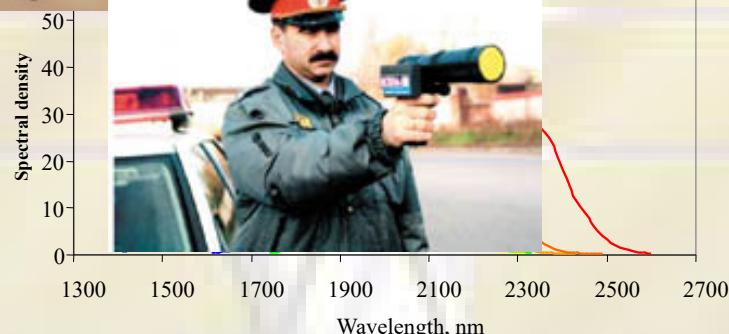


## LDs for Mid-IR (1600 nm - 16 $\mu\text{m}$ )



In Mid Infrared spectral range 1600-5000 nm lies strong absorption bands of such important gases and liquids as:

$\text{CH}_4, \text{H}_2\text{O}, \text{CO}_2, \text{CO}, \text{C}_2\text{H}_2, \text{C}_2\text{H}_4, \text{C}_2\text{H}_6, \text{CH}_3\text{Cl}, \text{OCS}, \text{HCl}, \text{HOCl}, \text{HBr}, \text{H}_2\text{S}, \text{HCN}, \text{NH}_3, \text{NO}_2$ , glucose and many others.



# Quantum-cascade lasers

Вып. 4

О ВОЗМОЖНОСТИ УСИЛЕНИЯ  
ЭЛЕКТРОМАГНИТНЫХ ВОЛН В ПОЛУПРОВОДНИКЕ  
СО СВЕРХРЕШЕТКОЙ

УДК 621.382.8

Р. Ф. Казаринов, Р. А. Сури

Рядом авторов отмечалась возможность возникновения принципиального дифференциального сопротивления в полупроводниках, в которых одна из подзон (нижняя мини-зона) расщеплена на ряд подзон (эмиссионные зоны) вследствие дополнительного потенциала первого периода, превосходящим период кристаллической решетки  $10^{-3}$ . Это отрицательное сопротивление обусловлено бреттескими взаимодействиями, которые испытывает электрон, ускоренный внешним электрическим полем. Для того чтобы такой механизм мог реализоваться, необходимо выполнение условия

$$\frac{\hbar}{\tau} < e\alpha F \ll I_0.$$

Здесь  $I_0$  — ширина нижней мини-зоны,  $e\alpha F$  — энергия, приобретаемая электроном в электрическом поле  $F$  на периоде сверхрешетки  $\alpha$ ,  $\tau$  — время его свободного пробега.

Интерес к явлениям, проявляющимся в таких структурах, сейчас особенно возраст в связи с тем, что уже получены первые экспериментальные образцы этих структур на основе монокристаллов полупроводников  $\text{Al}^{1-x}\text{Ga}_x\text{As}$  с периодически меняющимися на расстояниях порядка  $10^3$  Å составом и соответственно шириной запрещенной зоны [4, 5].

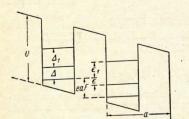
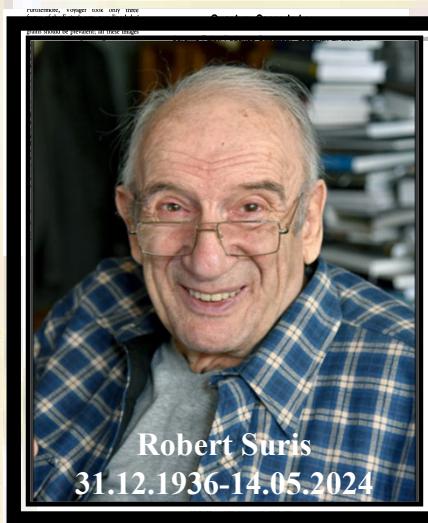


Рис. 1. Схематическое изображение потенциала сверхрешетки и электронных уровней энергии.

$$J = eV\Omega(1 - e^{-\Delta\tau T}) \times \frac{2|\Omega|^2\pi_{\perp}^2}{1 + e^{\frac{E}{kT}}\pi_{\perp}^2 + 4|\Omega|^2\pi_{\parallel}^2\pi_{\perp}^2}, \quad (1)$$

$$\hbar\epsilon = e\alpha F - \Delta.$$

Здесь  $\Omega = eFx$ , где  $x$  — матричный элемент взаимодействия между валентной функцией основного состояния  $\psi_{\text{вал}}$  и первым возбужденным состоянием  $(n\pm 1)\text{-змы}$ . Эта величина порядка постоянной склонности  $\gamma$  и определяется формулой Барбера, когда в случае сильной связью многочленные единицы;  $\pi_{\parallel}^2$  — частота перехода электрона из возбужденного состояния в основное;  $\tau$  — время свободного пробега по



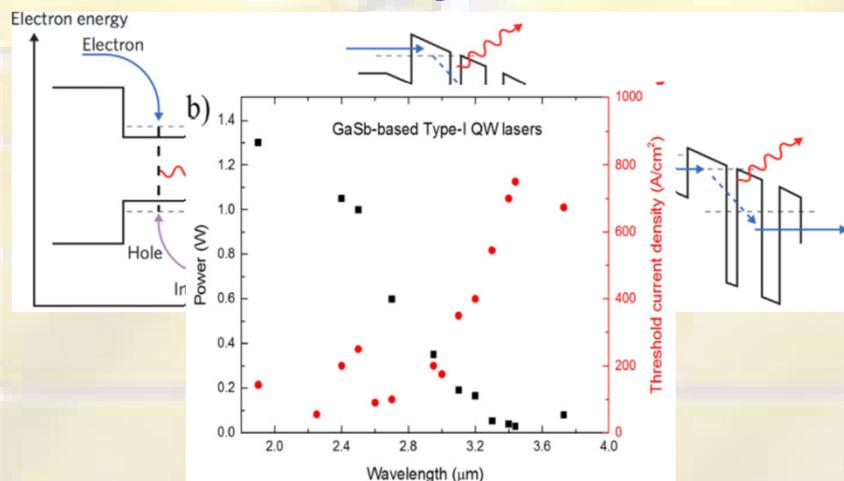
Robert Suris

31.12.1936-14.05.2024

[R.F.Kazarinov, R.A.Suris, Semiconductors 5, 797 (1971)]

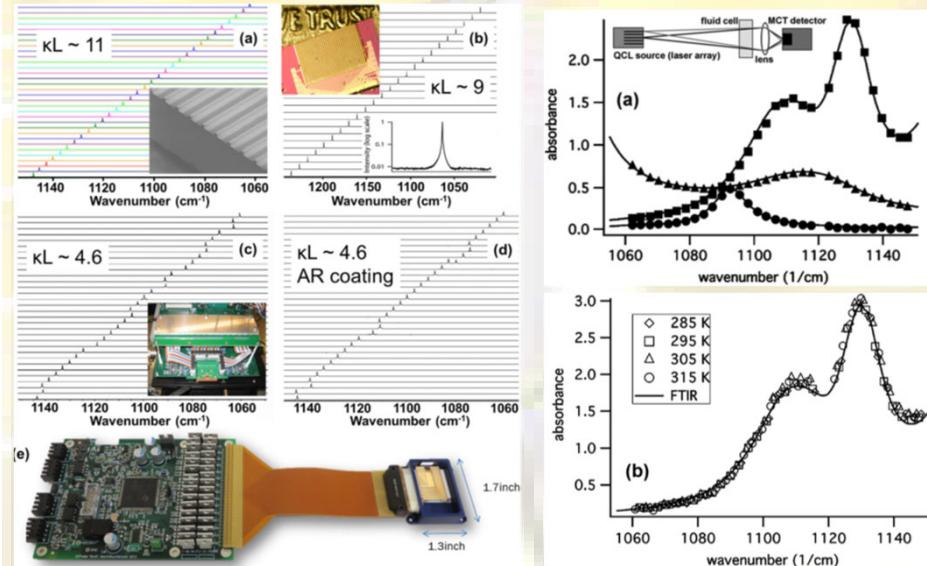
[J.Faist, F.Capasso et al., Science 264, 553 (1994)]

# Quantum cascade lasers: End of $E_g$ slavery



[G. Taubes, ‘A new laser promises to put an end to band gap slavery’ Science 264, 508 (1994)]  
[D. Jung et al. / J of Optics 19, 123001 (2017)]

## QCL arrays for spectroscopy



[P.Rauter, F.Capasso/Laser Phot.Rev. 9, 452 (2015)] [B. G. Lee et al./IEEE PTL 21, 914 (2009)]

## Thank you for your attention!

- Applications of semiconductor lasers. ‘Revolution’ of light.
- Basic principles of laser operation (only to remind).
- Absorption and gain in semiconductors, inversion of population and conditions for its achievement.
- Rate equations. Lasing threshold. Laser efficiency.
- Modulation of the laser signal. Gain clamping.
- Fiber-optical applications. DFB and DBR lasers. VCSELs and VECSELs
- Waveguide in LD structure. Modes of the waveguide.
- Beam-propagation (‘beam-quality’) parameter  $M^2$  and how to measure it. Achieving maximum power density with LDs.
- Interference focusing of LD beams.
- What’s next? New applications and problems to solve.



**Thank you!**  
**Questions?**  
**[gs@mail.ioffe.ru](mailto:gs@mail.ioffe.ru)**

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